

Windows of Susceptibility

Annie Lumen¹ and John Wambaugh²

1) Division of Biochemical Toxicology
U.S. FDA National Center for Toxicological Research
annie.lumen@fda.hhs.gov

2) Center for Computational Toxicology and Exposure
U.S. EPA Office of Research and Development
wambaugh.john@epa.gov

Society of Toxicology Annual Meeting
New Frontiers in Dynamic Toxicology
March 16, 2020
Anaheim, California

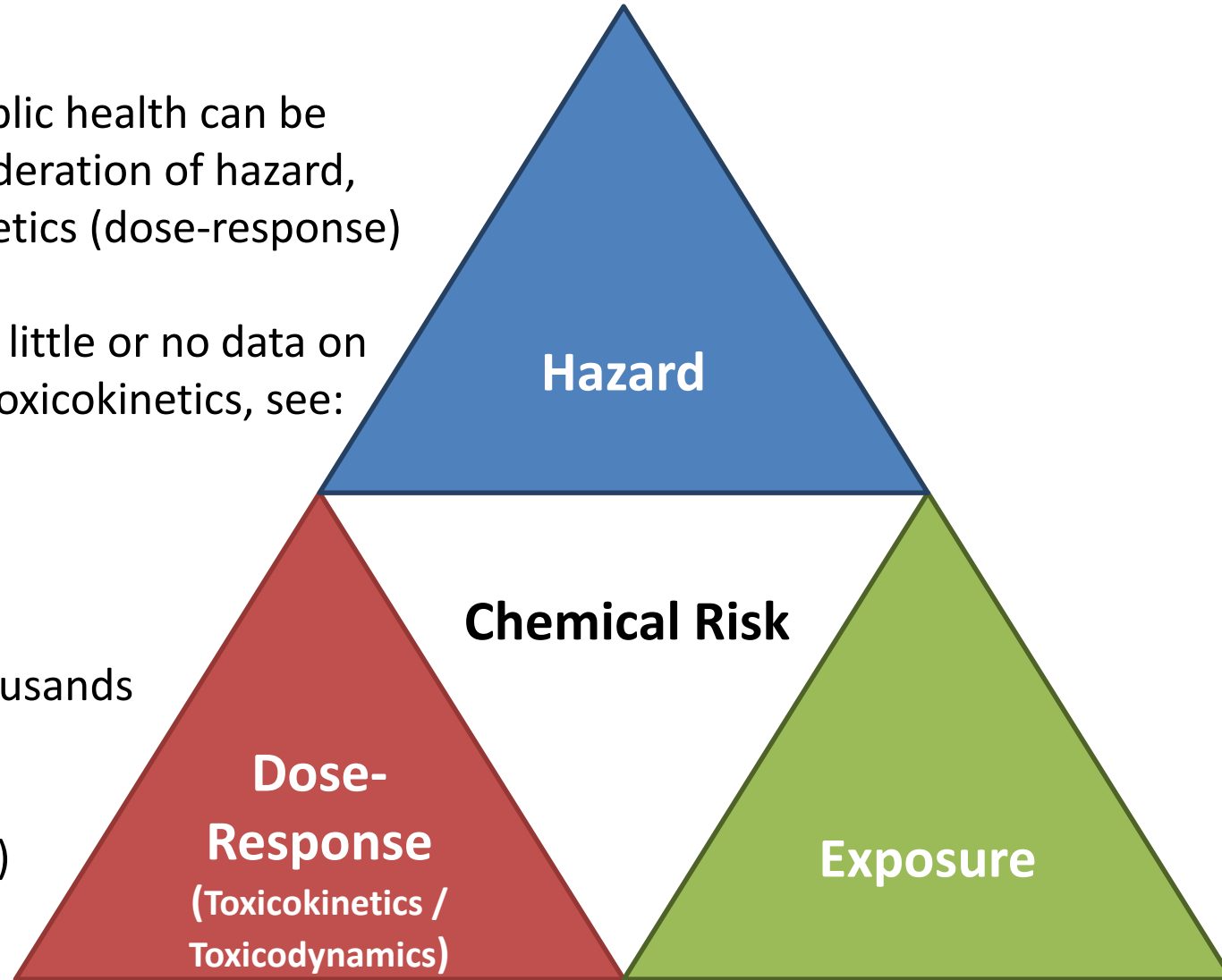
The views expressed in this presentation are those of the authors and do not necessarily reflect the views or policies of the U.S. EPA or FDA

Conflict of Interest Statement

The authors declare no conflict of interest

Hazard, Exposure, and Toxicokinetics

- Chemical risk to the public health can be assessed through consideration of hazard, exposure and toxicokinetics (dose-response)
- Most of chemicals have little or no data on hazard, exposure, and toxicokinetics, see: *Judson et al. (2009)*, *Egeghy et al. (2012)*, *Wetmore et al. (2015)*
- Generating data for thousands of chemicals requires “new approach methodologies” (NAMs)



NRC (1983)

The Frank R. Lautenberg Chemical Safety for the 21st Century Act

- Passed by the U.S. Congress in 2016 – modernization of the Toxic Substances Control Act (TSCA)
- Defines "potentially exposed or susceptible subpopulation" to be “a group of individuals within the general population identified by the Administrator who, due to **either greater susceptibility or greater exposure**, may be at greater risk than the general population of adverse health effects from exposure to a chemical substance or mixture, such as **infants, children, pregnant women, workers, or the elderly**”
- “High Priority Substances” present an unreasonable risk of injury to health or the environment, including an **unreasonable risk to a potentially exposed or susceptible subpopulation**

130 STAT. 448

PUBLIC LAW 114–182—JUNE 22, 2016

Public Law 114–182 114th Congress

An Act

June 22, 2016
[H.R. 2576]

Frank R.
Lautenberg
Chemical Safety
for the 21st
Century Act.
15 USC 2601
note.

To modernize the Toxic Substances Control Act, and for other purposes.

Be it enacted by the Senate and House of Representatives of the United States of America in Congress assembled,

SECTION 1. SHORT TITLE; TABLE OF CONTENTS.

(a) SHORT TITLE.—This Act may be cited as the “Frank R. Lautenberg Chemical Safety for the 21st Century Act”.

(b) TABLE OF CONTENTS.—The table of contents of this Act is as follows:

Sec. 1. Short title; table of contents.

TITLE I—CHEMICAL SAFETY

Sec. 2. Findings, policy, and intent.

Sec. 3. Definitions.

Sec. 4. Testing of chemical substances and mixtures.

Sec. 5. Manufacturing and processing notices.

Sec. 6. Prioritization, risk evaluation, and regulation of chemical substances and mixtures.

Sec. 7. Imminent hazards.

Sec. 8. Reporting and retention of information.

Sec. 9. Relationship to other Federal laws.

Sec. 10. Exports of elemental mercury.

Sec. 11. Confidential information.

Sec. 12. Penalties.

Sec. 13. State-Federal relationship.

Sec. 14. Judicial review.

Sec. 15. Citizens' civil actions.

Sec. 16. Studies.

Sec. 17. Administration of the Act.

Sec. 18. State programs.

Sec. 19. Conforming amendments.

Sec. 20. No retroactivity.

Sec. 21. Trevor's Law.

TITLE II—RURAL HEALTHCARE CONNECTIVITY

Sec. 201. Short title.

Sec. 202. Telecommunications services for skilled nursing facilities.

TITLE I—CHEMICAL SAFETY

SEC. 2. FINDINGS, POLICY, AND INTENT.

Section 2(c) of the Toxic Substances Control Act (15 U.S.C. 2601(c)) is amended by striking “proposes to take” and inserting “proposes as provided”.

SEC. 3. DEFINITIONS.

Section 3 of the Toxic Substances Control Act (15 U.S.C. 2602) is amended—



June 22, 2016

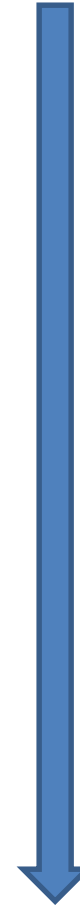
Research Challenge and Need

- “The normal development of the fetus, infant, and child can be disrupted by relatively low doses of certain chemicals. These developmental stages are ‘***windows of susceptibility***’ when there is increased vulnerability to the effects of toxic chemicals.” Birnbaum (2010)
- Too many chemicals to do traditional approaches of developmental toxicity testing
- Need for reliable alternative approaches (that is, NAMs) for
 - **Hazard:** Efficient screening of chemicals for developmental toxicity potential
 - **Toxicokinetics:** Determination of concentration in key tissues as a function of time
 - **Risk** based prioritization for more detailed evaluations

Assessing Chemical Risk

- We wish to link chemical exposure to adverse responses

Exposure



Default for
limited
information

Response

Barton (2005)

Assessing Chemical Risk

- We wish to link chemical exposure to adverse responses
- Both the **window of susceptibility** (that is, the timing of the toxicodynamics) and the **toxicokinetics** occurring during that window must be addressed
- These analyses involve combining quantitative descriptions of the tissue dosimetry (that is, pharmacokinetics), window of susceptibility, and dose-response behavior within that window
- A major challenge for any modeling, but especially life stage modeling, is how to obtain data sets for model parameterization, calibration, and evaluation

Exposure



Pharmaco- /Toxicokinetics

- Fate of molecules/chemicals in body
- Considers absorption, distribution, metabolism, excretion (ADME)



Pharmaco- /Toxicodynamics

- Effect of molecules/chemicals at biological target *in vivo*
- Perturbation as adverse/therapeutic effect, reversible/ irreversible effects

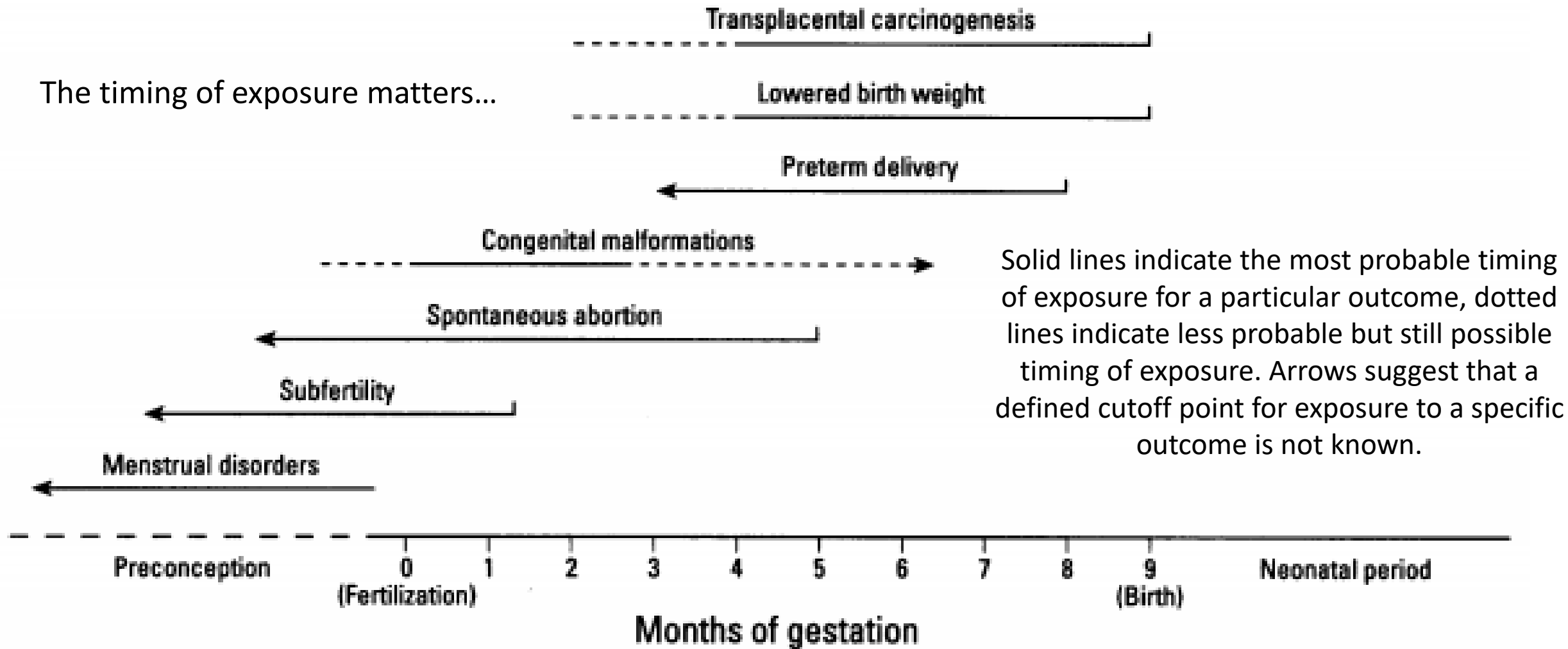


Response

Barton (2005)

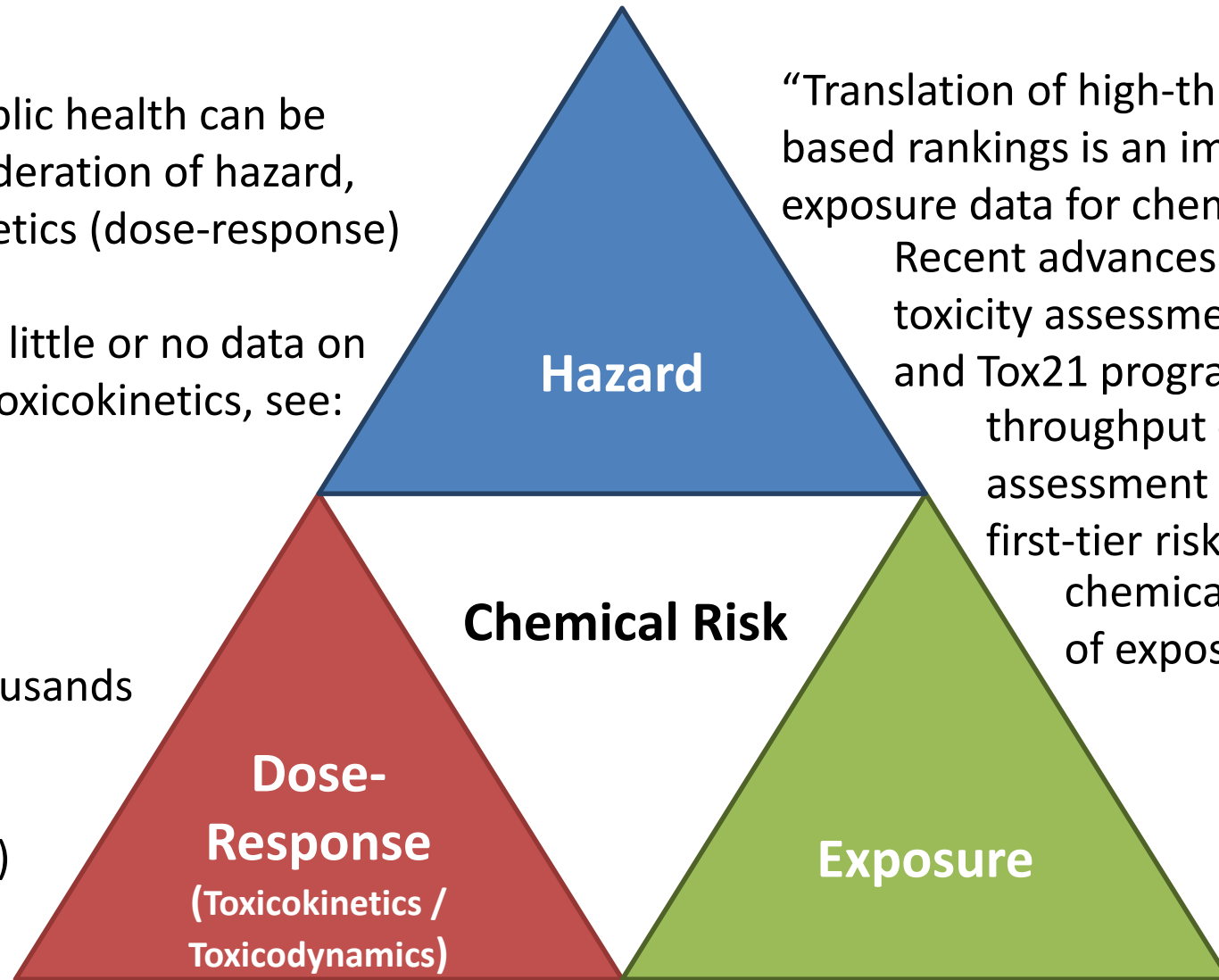
Windows of Susceptibility

The timing of exposure matters...



Hazard, Exposure, and Toxicokinetics

- Chemical risk to the public health can be assessed through consideration of hazard, exposure and toxicokinetics (dose-response)
- Most of chemicals have little or no data on hazard, exposure, and toxicokinetics, see: *Judson et al. (2009)*, *Egeghy et al. (2012)*, *Wetmore et al. (2015)*
- Generating data for thousands of chemicals requires “new approach methodologies” (NAMs)

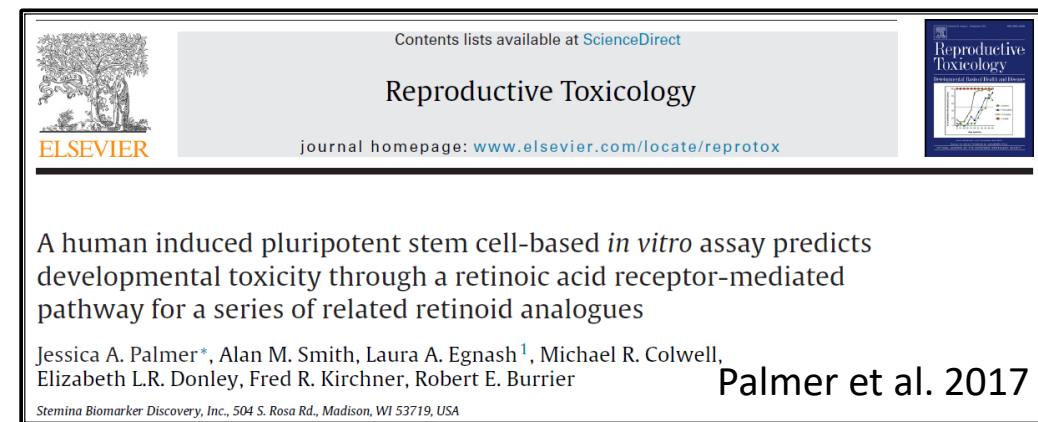
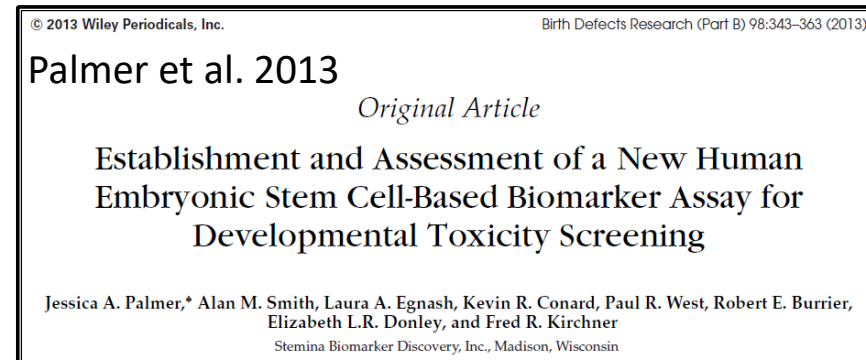


“Translation of high-throughput data into risk-based rankings is an important application of exposure data for chemical priority-setting. Recent advances in high-throughput toxicity assessment, notably the ToxCast and Tox21 programs... and in high-throughput computational exposure assessment [ExpoCast] have enabled first-tier risk-based rankings of chemicals on the basis of margins of exposure” - National Academies of Sciences, Engineering, and Medicine (NASEM)

NRC (1983)

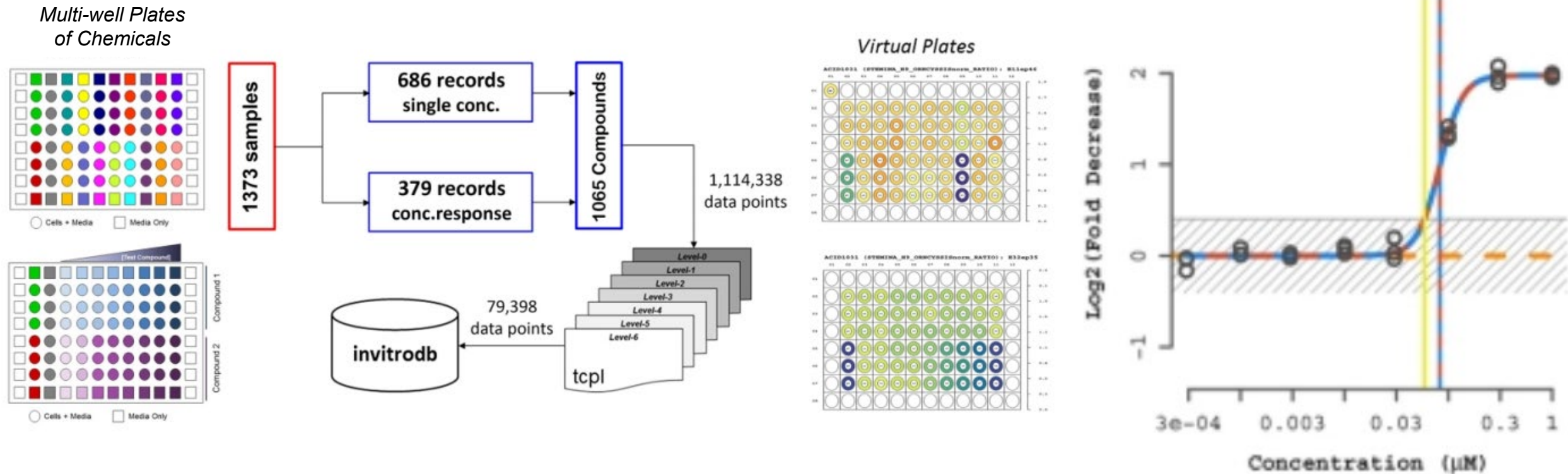
New Approach Methods for Toxicodynamic Windows of Susceptibility

- Palmer et al. (2013) and (2017) reported on *in vitro* biomarker assays for rapid and targeted screening of chemicals for developmental toxicity based on changes in cellular metabolism as early signals
- Specifically, the assay determines the *in vitro* concentration of the test compound that is associated with developmental toxicity potential (dTP)
- Assays have been shown to have good accuracy, sensitivity, specificity, and high concordance to existing *in vivo* models
- Zurlinden et al. (2020) describes incorporation of these assays into ToxCast screening program



New Approach Methods for Toxicodynamic Windows of Susceptibility

- Zurlinden et al. (2020) describes incorporation of Palmer et al. (2013, 2017) assays into the ToxCast screening program



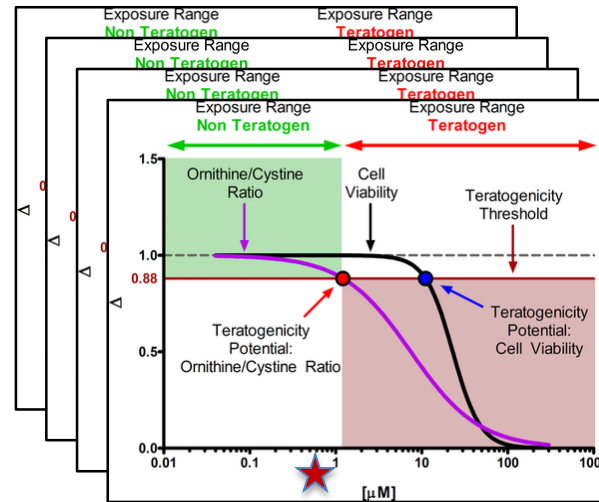
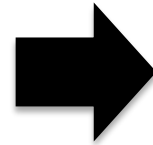
Zurlinden et al. (2020)

KNOWN: *In vitro* Measured Internal Exposure (μM) associated with Developmental Toxicity

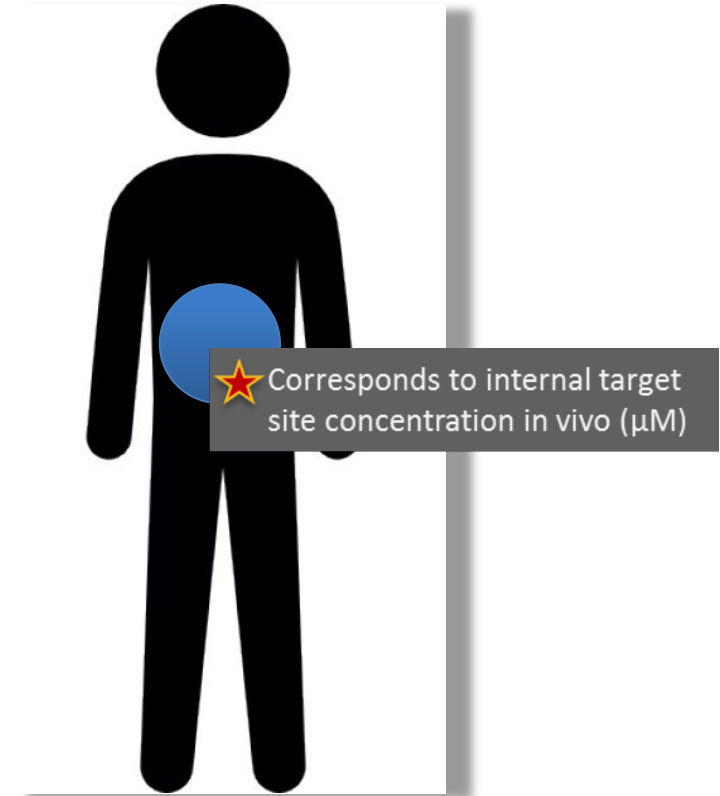
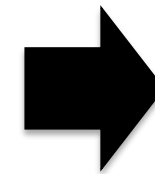
Test Compound #1 Test Compound #n



In vitro assay
[e.g., Palmer et al. 2013, 2017]



In vitro concentration [μM]
Identified for developmental
toxicity potential ★

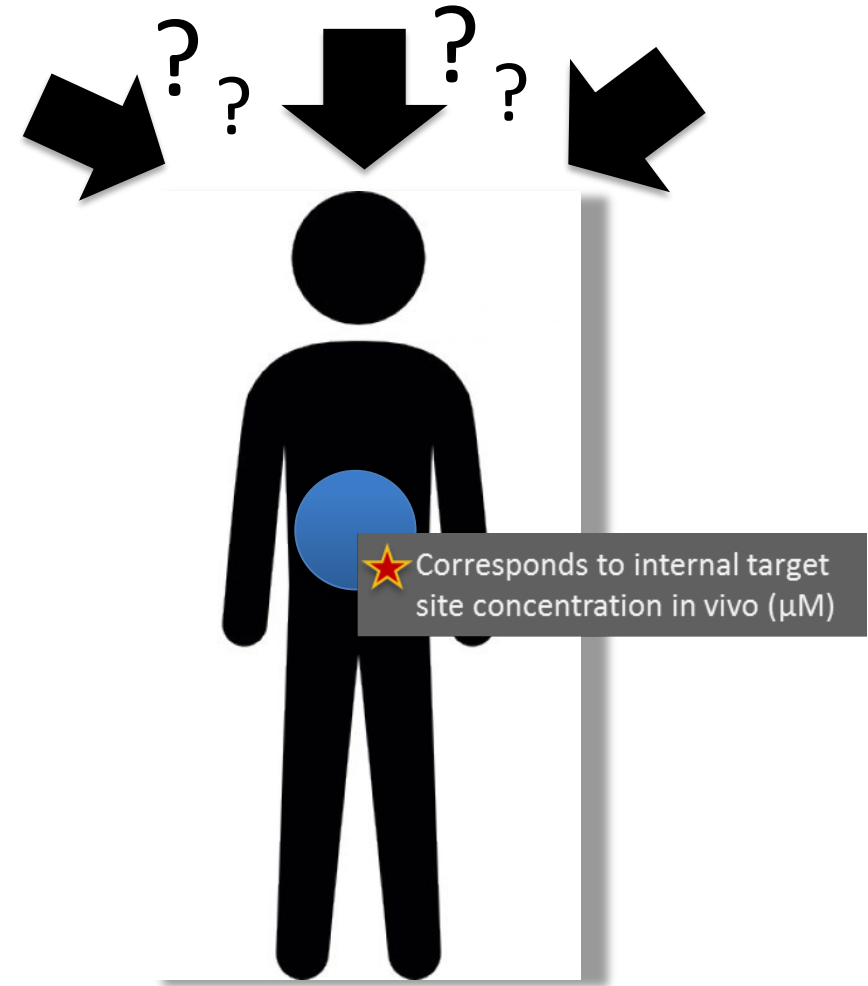


UNKNOWN: *In vivo* Relevant External Exposure (mg/kg) associated with Developmental Toxicity

Specific Research Goal:

What is the level of *in vivo* external exposure (mg/kg) that yields the corresponding internal exposure levels (μM) that are shown to be associated with developmental toxicity *in vitro*?

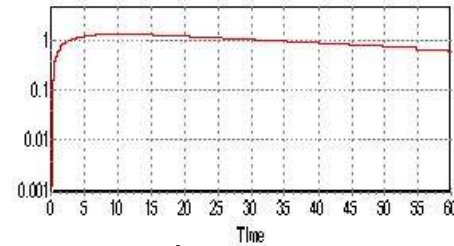
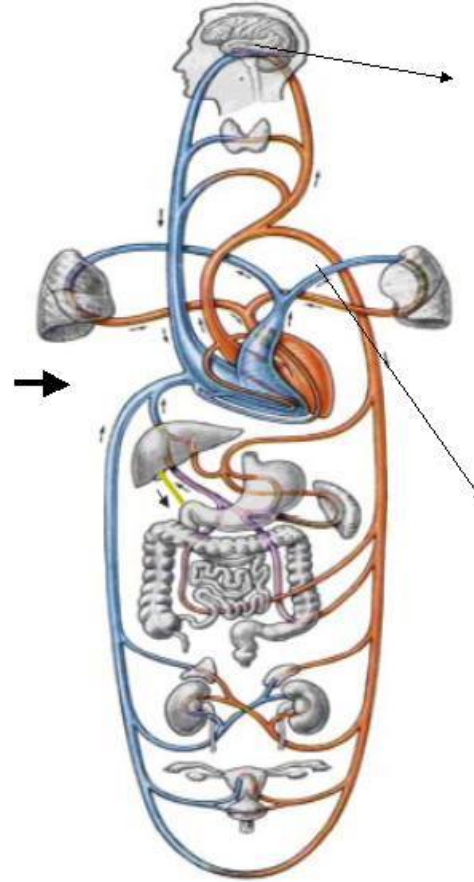
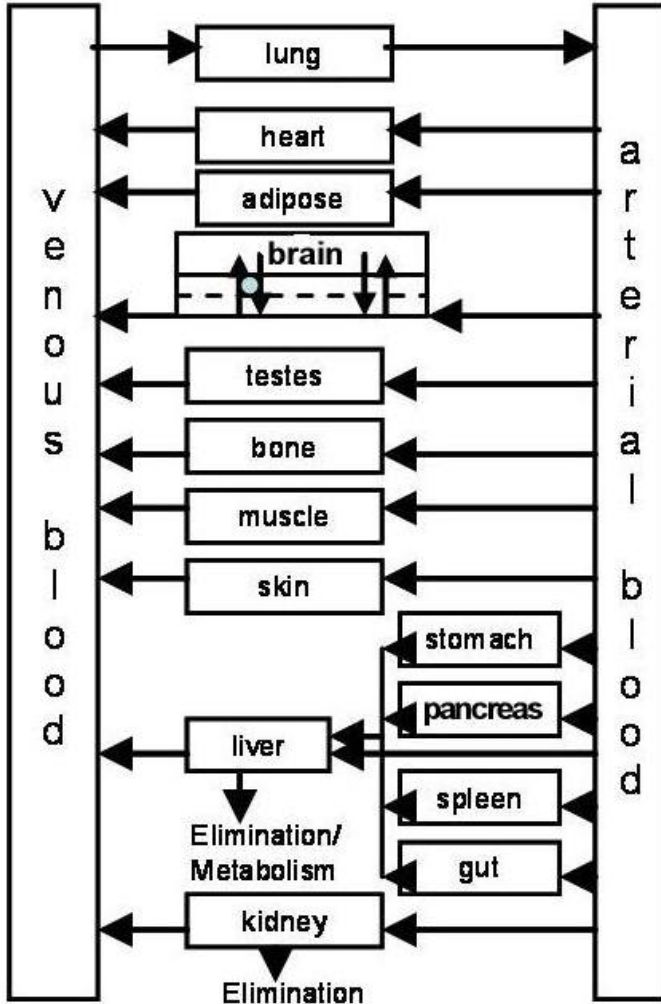
Essence of *In vitro* to *In vivo*
Extrapolation (IVIVE)



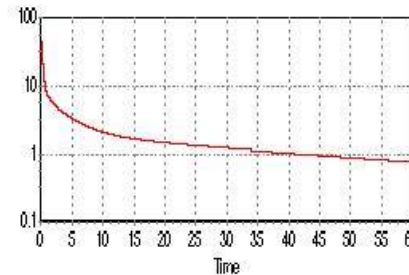
Need a tool that bridges

Internal Exposure \leftrightarrow External Exposure:

Physiologically Based Pharmacokinetic (PBPK) Modeling



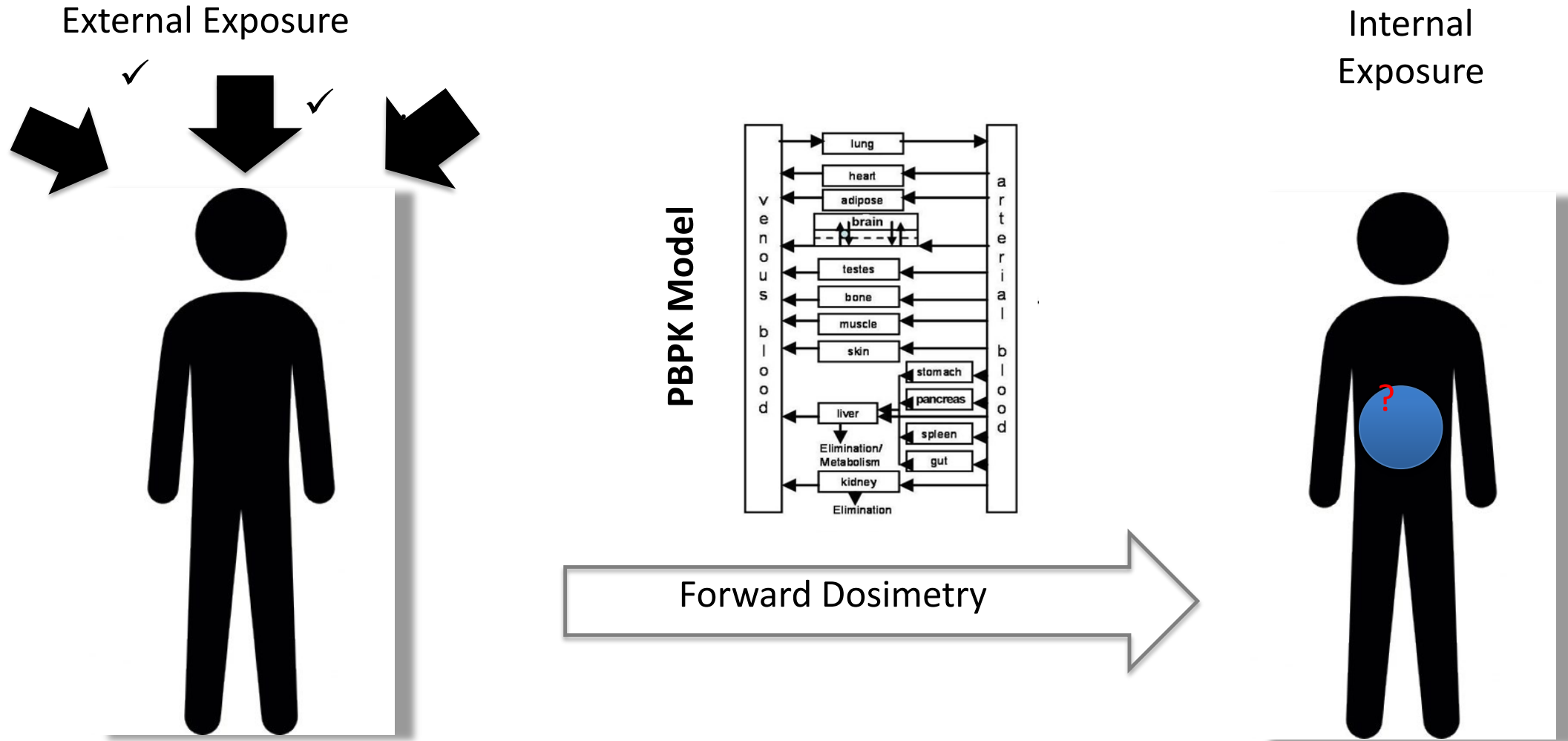
Predict target tissue concentration



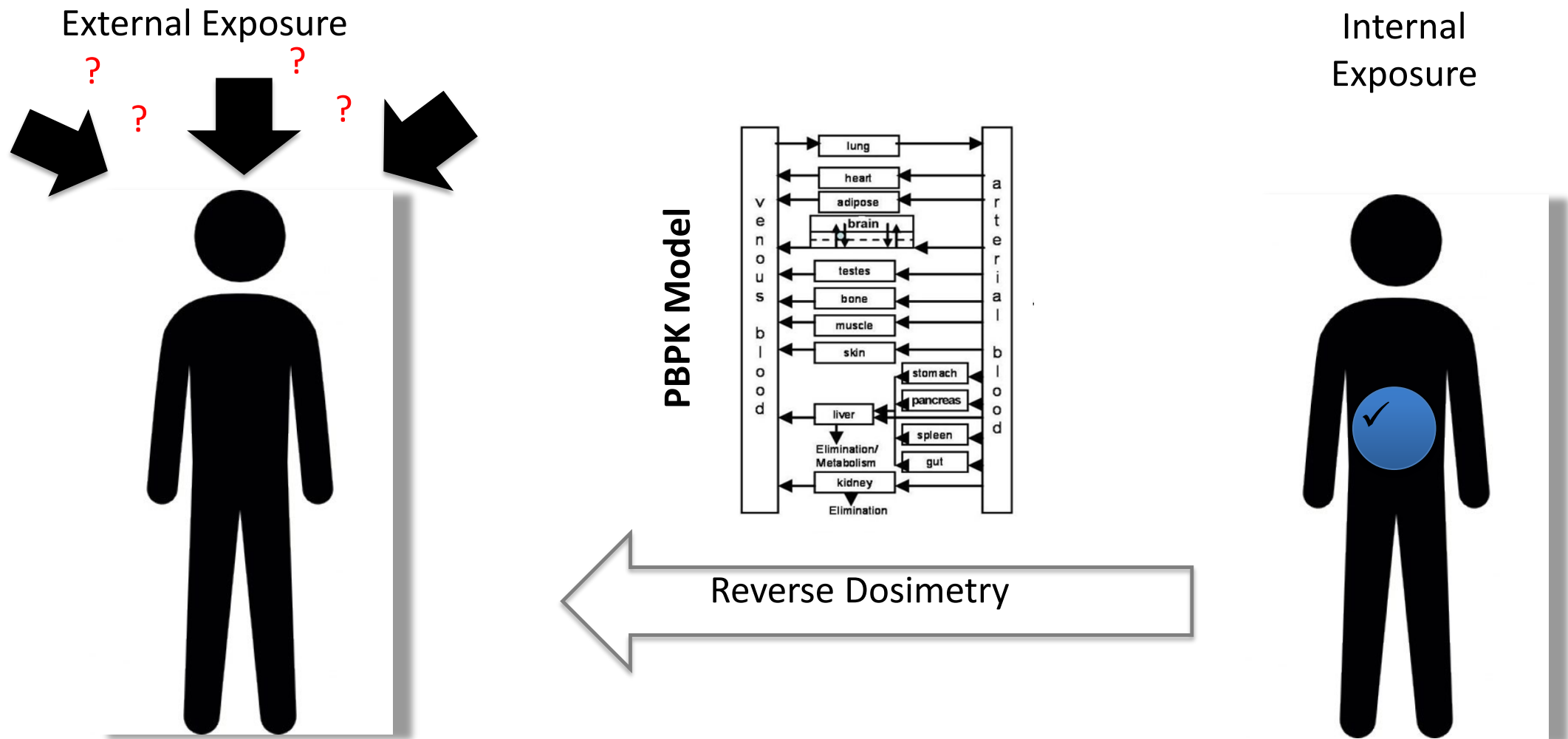
Predict plasma concentration

- Mathematical description of what the body does to the drug (Pharmacokinetics)
- Model comprises of physiological parameters and chemical-specific parameters

Utility of Fully Parameterized PBPK: Forward Dosimetry



Utility of Fully Parameterized PBPK: Reverse Dosimetry

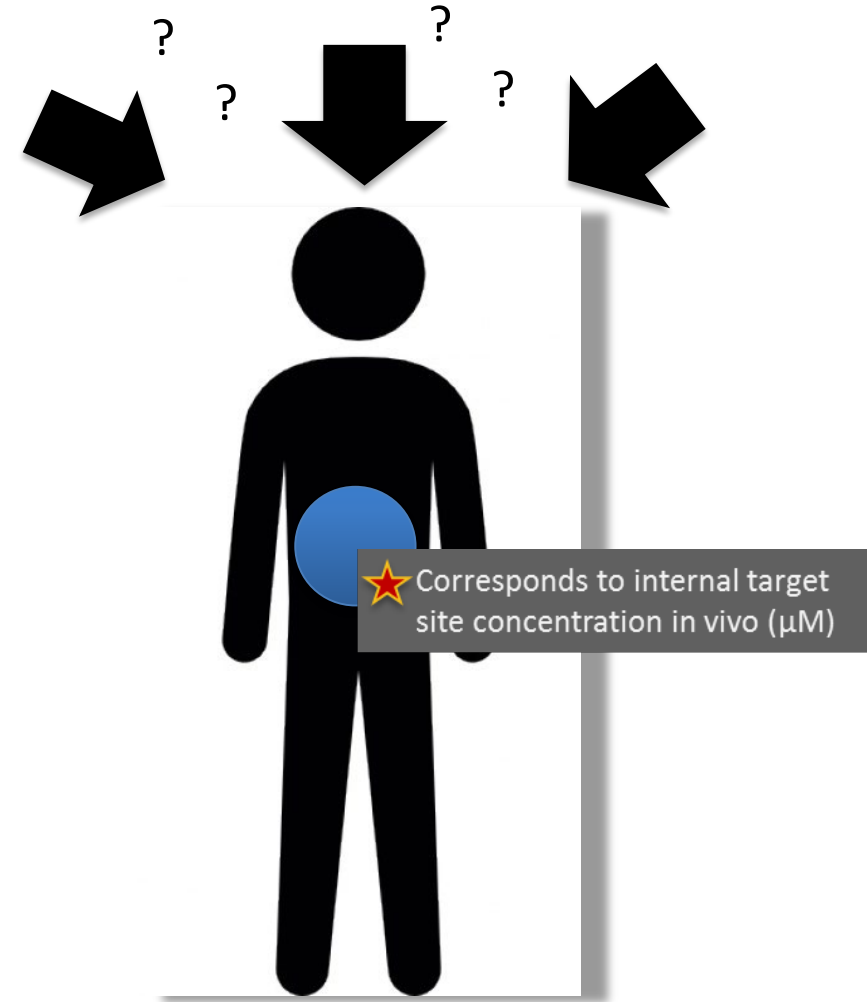


UNKNOWN: External Exposure (mg/kg) associated with Developmental Toxicity

Specific Research Goal:

What is the level of *in vivo* external exposure (mg/kg) that yields the corresponding internal exposure levels (μM) that are shown to be associated with developmental toxicity *in vitro*?

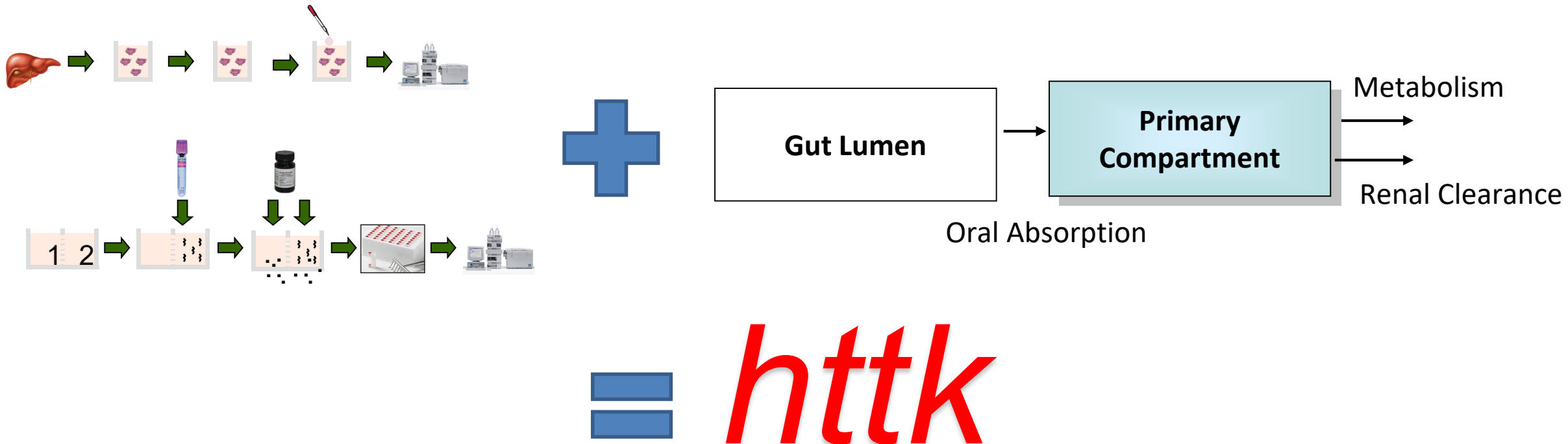
***In vitro* to *In vivo* Extrapolation
using
PBPK Modeling**



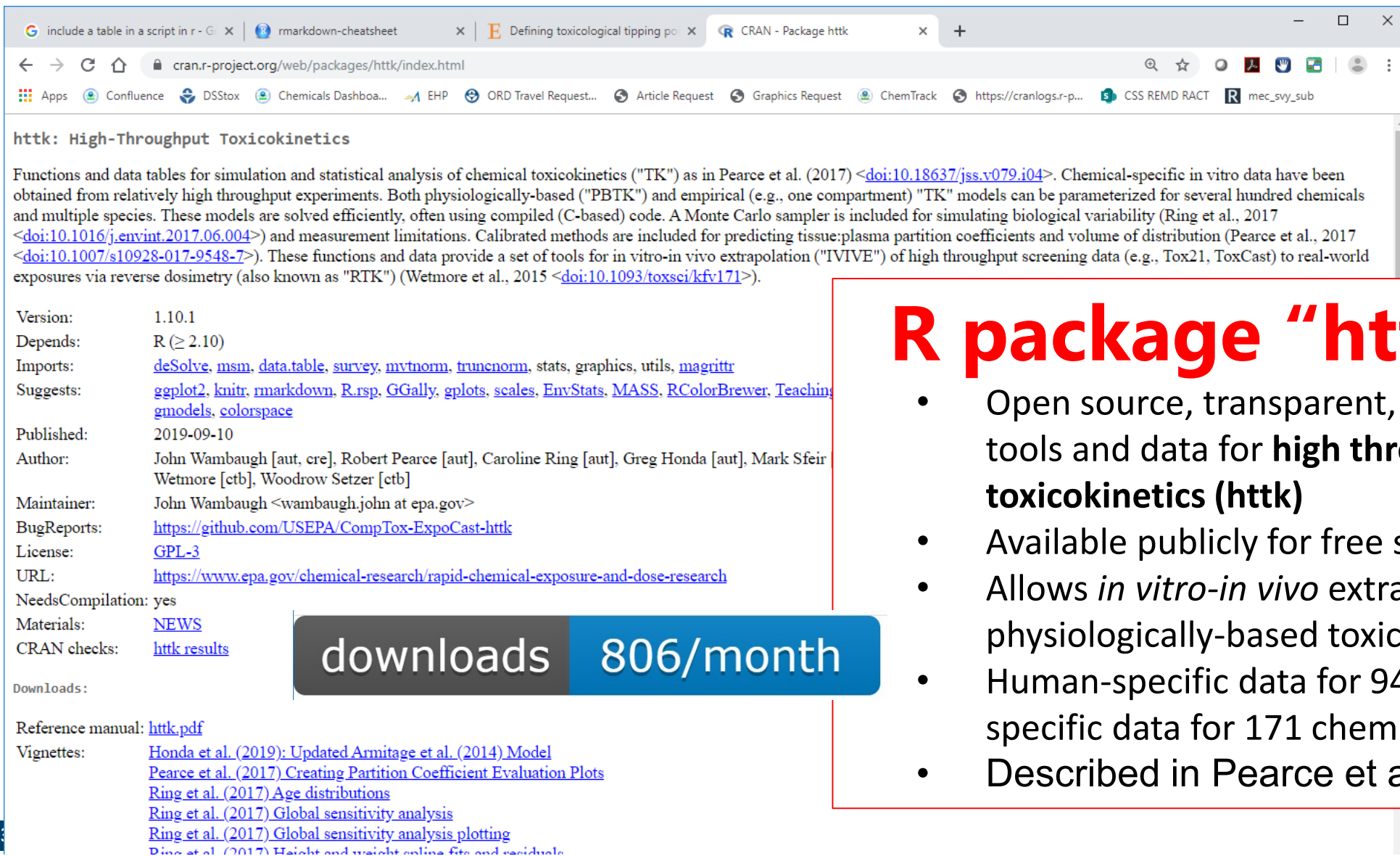
High Throughput Toxicokinetics (HTTK)

Most chemicals lack public toxicokinetic-related data (Wetmore et al., 2012):

***In vitro* toxicokinetic data + generic toxicokinetic model
= high(er) throughput toxicokinetics**



<https://CRAN.R-project.org/package=httk>



The screenshot shows the CRAN package page for 'httk'. The browser tabs include 'include a table in a script in r - G...', 'rmarkdown-cheatsheet', 'Defining toxicological tipping po...', and 'CRAN - Package httk'. The address bar shows 'cran.r-project.org/web/packages/httk/index.html'. The page title is 'httk: High-Throughput Toxicokinetics'. The description states: 'Functions and data tables for simulation and statistical analysis of chemical toxicokinetics ("TK") as in Pearce et al. (2017) <doi:10.18637/jss.v079.i04>. Chemical-specific in vitro data have been obtained from relatively high throughput experiments. Both physiologically-based ("PBTK") and empirical (e.g., one compartment) "TK" models can be parameterized for several hundred chemicals and multiple species. These models are solved efficiently, often using compiled (C-based) code. A Monte Carlo sampler is included for simulating biological variability (Ring et al., 2017 <doi:10.1016/j.envint.2017.06.004>) and measurement limitations. Calibrated methods are included for predicting tissue:plasma partition coefficients and volume of distribution (Pearce et al., 2017 <doi:10.1007/s10928-017-9548-7>). These functions and data provide a set of tools for in vitro-in vivo extrapolation ("IVIVE") of high throughput screening data (e.g., Tox21, ToxCast) to real-world exposures via reverse dosimetry (also known as "RTK") (Wetmore et al., 2015 <doi:10.1093/toxsci/kfv171>).' The package version is 1.10.1, depends on R (≥ 2.10), and imports deSolve, msm, data.table, survey, mvtnorm, truncnorm, stats, graphics, utils, magrittr. It suggests ggplot2, knitr, rmarkdown, R.rsp, GGally, gplots, scales, EnvStats, MASS, RColorBrewer, TeachingModels, colorspace. Published on 2019-09-10, author John Wambaugh [aut, cre], Robert Pearce [aut], Caroline Ring [aut], Greg Honda [aut], Mark Sfeir Wetmore [ctb], Woodrow Setzer [ctb], maintainer John Wambaugh <wambaugh.john@epa.gov>, bug reports at https://github.com/USEPA/CompTox-ExpoCast-httk, license GPL-3, URL https://www.epa.gov/chemical-research/rapid-chemical-exposure-and-dose-research, needs compilation yes, materials NEWS, CRAN checks httk results. A blue button shows 'downloads 806/month'. The reference manual is httk.pdf. Vignettes include Honda et al. (2019): Updated Armitage et al. (2014) Model, Pearce et al. (2017): Creating Partition Coefficient Evaluation Plots, Ring et al. (2017): Age distributions, Ring et al. (2017): Global sensitivity analysis, Ring et al. (2017): Global sensitivity analysis plotting, and Ring et al. (2017): Height and weight online fits and residuals.

httk: High-Throughput Toxicokinetics

Functions and data tables for simulation and statistical analysis of chemical toxicokinetics ("TK") as in Pearce et al. (2017) <doi:10.18637/jss.v079.i04>. Chemical-specific in vitro data have been obtained from relatively high throughput experiments. Both physiologically-based ("PBTK") and empirical (e.g., one compartment) "TK" models can be parameterized for several hundred chemicals and multiple species. These models are solved efficiently, often using compiled (C-based) code. A Monte Carlo sampler is included for simulating biological variability (Ring et al., 2017 <doi:10.1016/j.envint.2017.06.004>) and measurement limitations. Calibrated methods are included for predicting tissue:plasma partition coefficients and volume of distribution (Pearce et al., 2017 <doi:10.1007/s10928-017-9548-7>). These functions and data provide a set of tools for in vitro-in vivo extrapolation ("IVIVE") of high throughput screening data (e.g., Tox21, ToxCast) to real-world exposures via reverse dosimetry (also known as "RTK") (Wetmore et al., 2015 <doi:10.1093/toxsci/kfv171>).

Version: 1.10.1
Depends: R (≥ 2.10)
Imports: deSolve, msm, data.table, survey, mvtnorm, truncnorm, stats, graphics, utils, magrittr
Suggests: ggplot2, knitr, rmarkdown, R.rsp, GGally, gplots, scales, EnvStats, MASS, RColorBrewer, TeachingModels, colorspace
Published: 2019-09-10
Author: John Wambaugh [aut, cre], Robert Pearce [aut], Caroline Ring [aut], Greg Honda [aut], Mark Sfeir Wetmore [ctb], Woodrow Setzer [ctb]
Maintainer: John Wambaugh <wambaugh.john@epa.gov>
BugReports: https://github.com/USEPA/CompTox-ExpoCast-httk
License: GPL-3
URL: https://www.epa.gov/chemical-research/rapid-chemical-exposure-and-dose-research
NeedsCompilation: yes
Materials: NEWS
CRAN checks: httk results

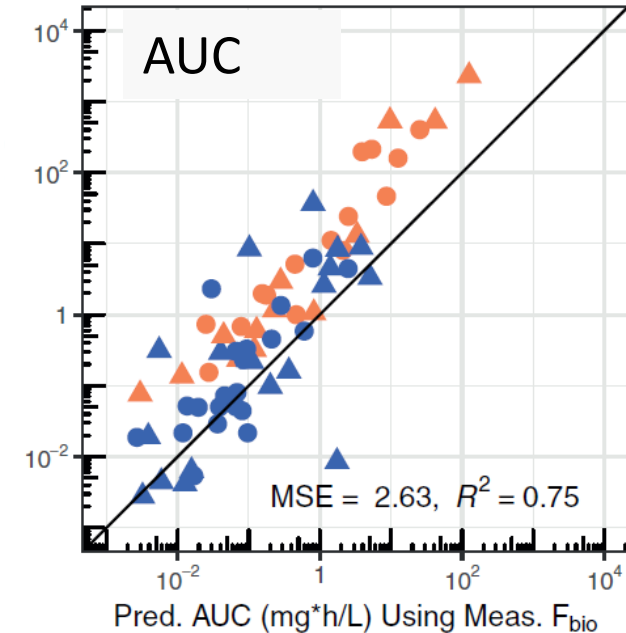
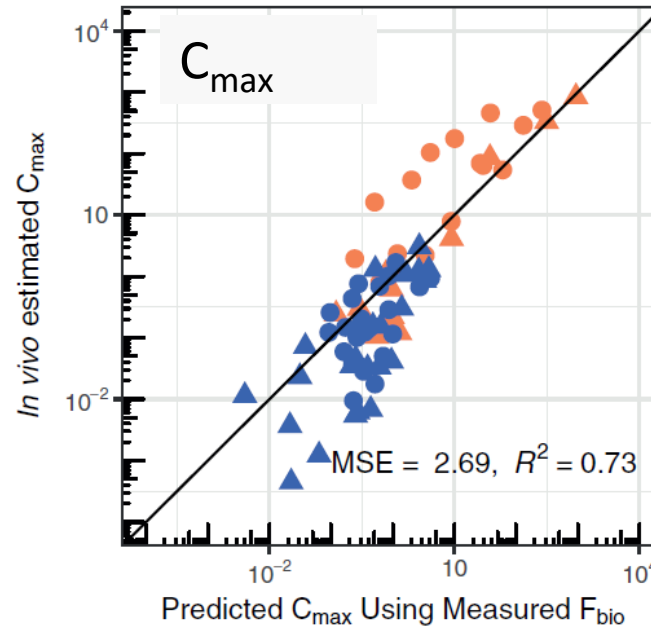
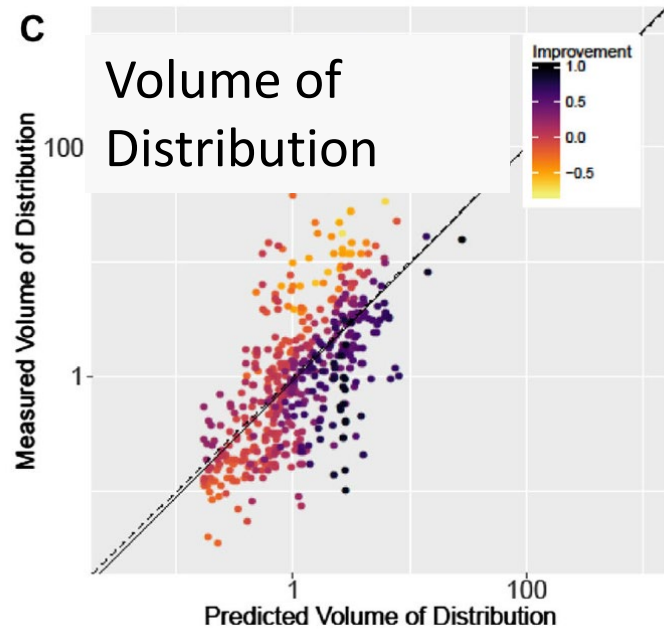
downloads 806/month

Reference manual: httk.pdf
Vignettes: Honda et al. (2019): Updated Armitage et al. (2014) Model
Pearce et al. (2017): Creating Partition Coefficient Evaluation Plots
Ring et al. (2017): Age distributions
Ring et al. (2017): Global sensitivity analysis
Ring et al. (2017): Global sensitivity analysis plotting
Ring et al. (2017): Height and weight online fits and residuals

R package "httk"

- Open source, transparent, and peer-reviewed tools and data for **high throughput toxicokinetics (httk)**
- Available publicly for free statistical software R
- Allows *in vitro-in vivo* extrapolation (IVIVE) and physiologically-based toxicokinetics (PBTK)
- Human-specific data for 944 chemicals and rat-specific data for 171 chemicals
- Described in Pearce et al. (2017)

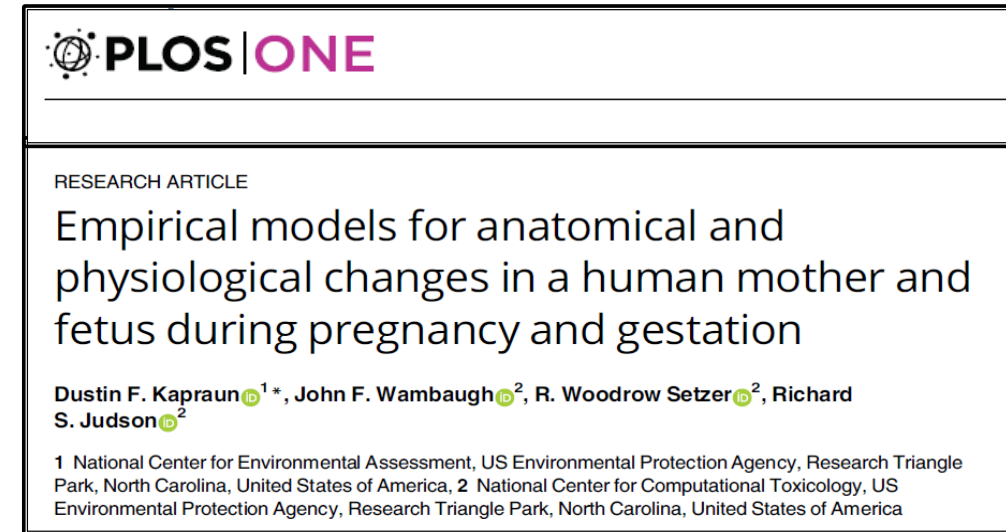
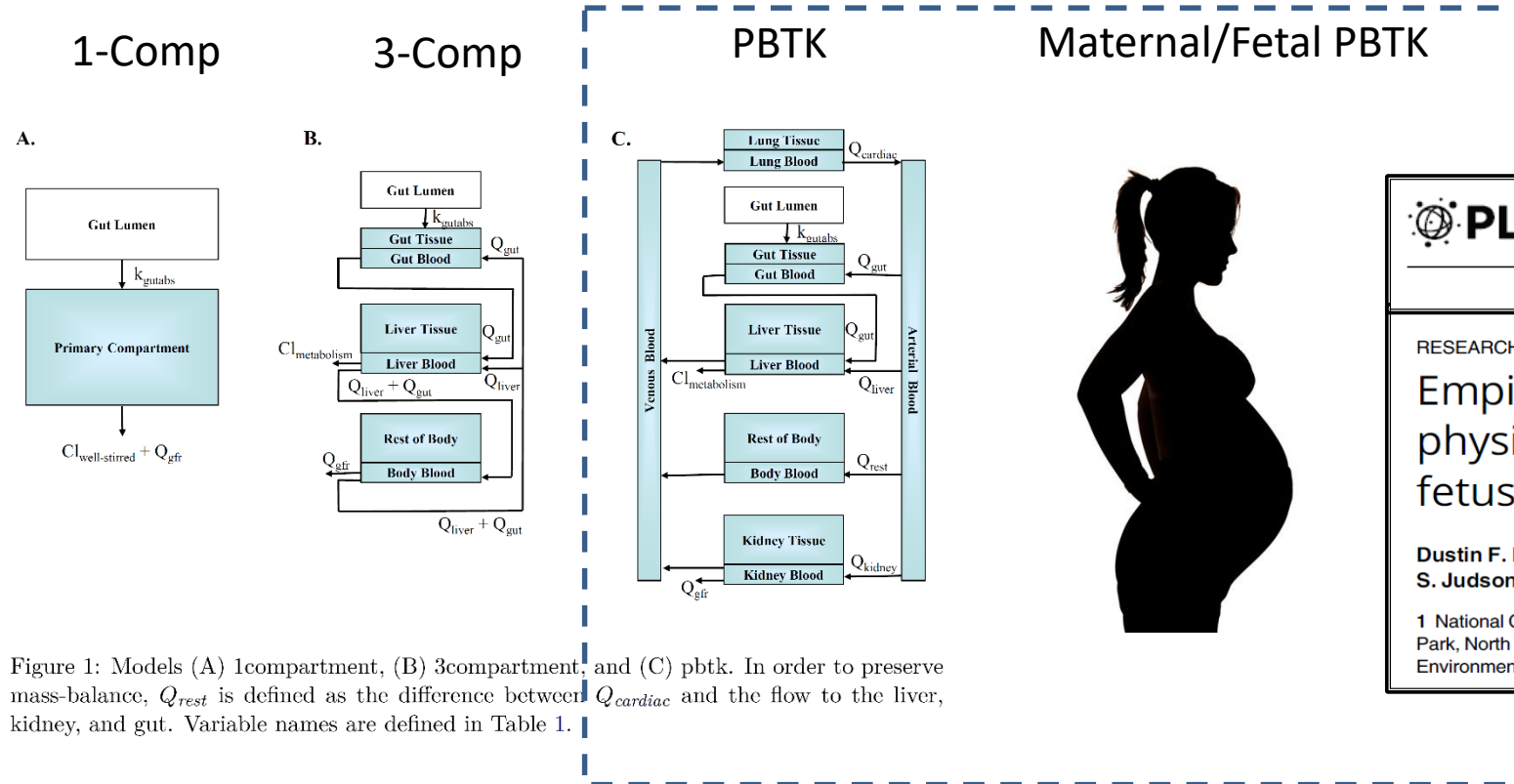
HTTK Model Calibration and Evaluation



HTTK trades reasonable predictions for a range of chemicals for accurate predictions for a specific chemical

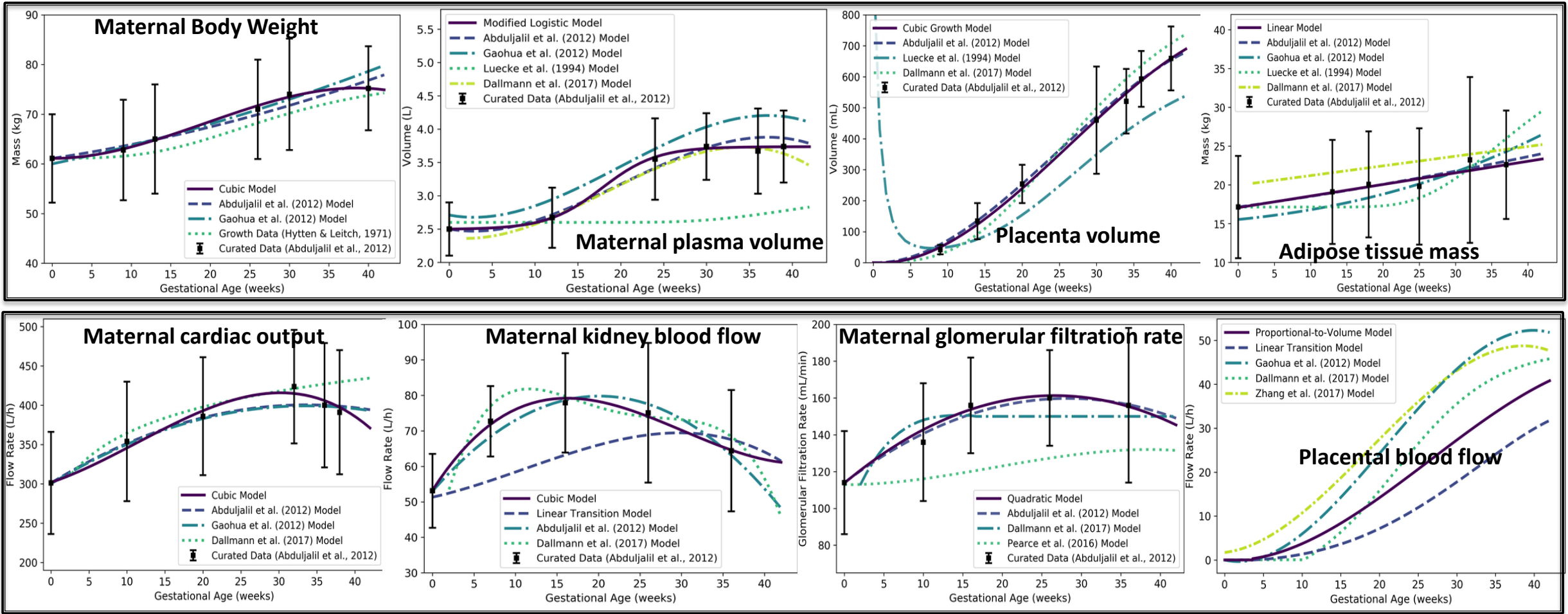
More importantly, we can statistically characterize the error in the predictions

'HTTK' R-Package Extended to Pregnancy

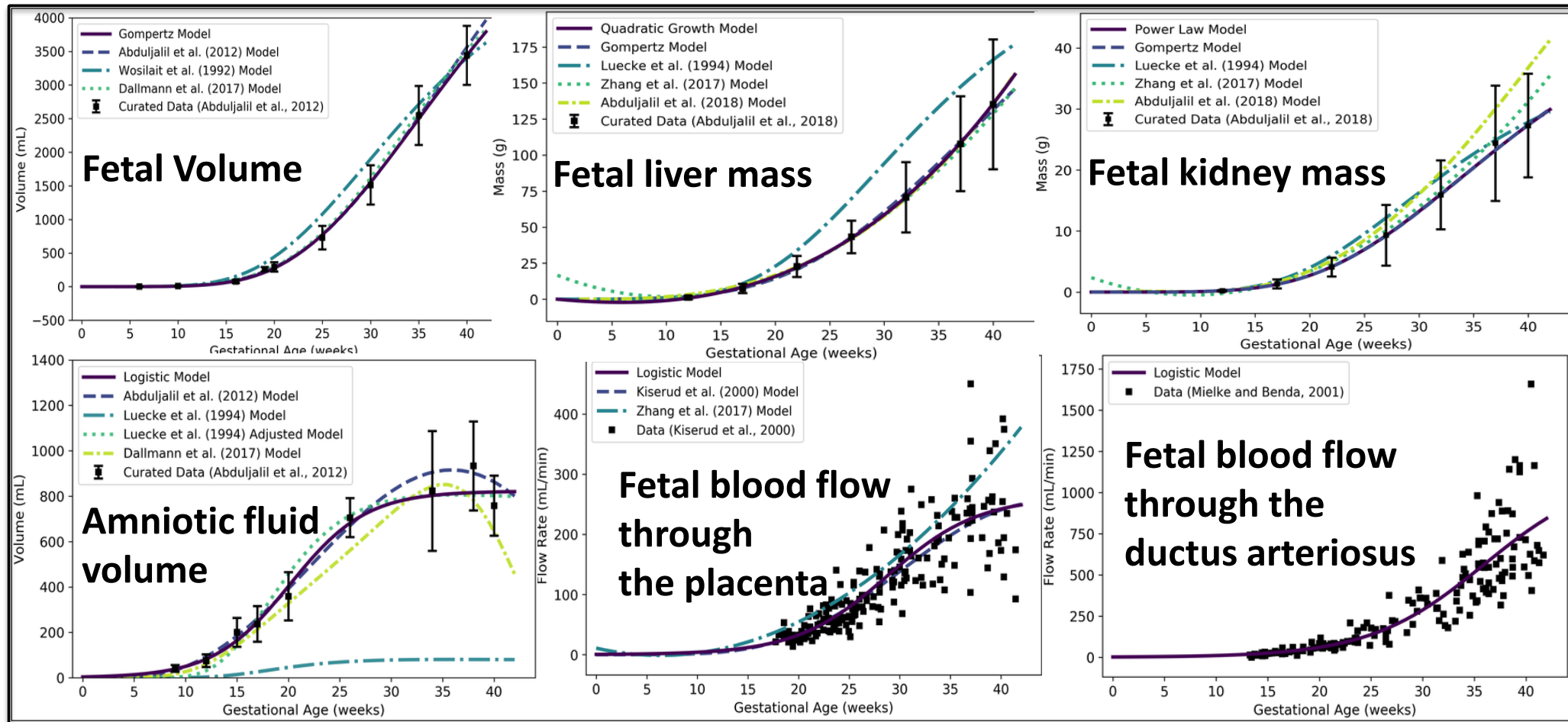


Kapraun et al. 2019

Representative Physiological Parameter Changes in the Mother



Representative Physiological Parameter Changes in the Fetus





Maternal/Fetal HTK Model: Features

- Description of fetal physiology and the evolving fetal circulatory system in pregnancy PBPK models
- Temporal changes in maternal and fetal physiological parameters (e.g. body weight, blood flow rate, and compartment volumes) informed by the most current human experimental data available
- Designed to simulate ADME in mother and fetus from 13 weeks gestation to term.
- Placental/fetal transfer is described using partition coefficients which might be sufficient for many chemicals
- Accommodates analysis (IVIVE/forward/reverse dosimetry) for >900 chemicals

Maternal/Fetal HTKK Model: Not Included

- Changes in maternal metabolic enzyme expression levels and activity
- Changes in fetal metabolic enzyme expression levels and activity
- Changes in renal clearance capacities in fetus across gestational age
- Changes in plasma protein binding for both mom and fetus
- Placental metabolism contributions
- Placental barrier descriptions (permeability rate constants or active transporter function to determine extent of fetal exposure might be important for some chemicals)

Forward Dosimetry Evaluations

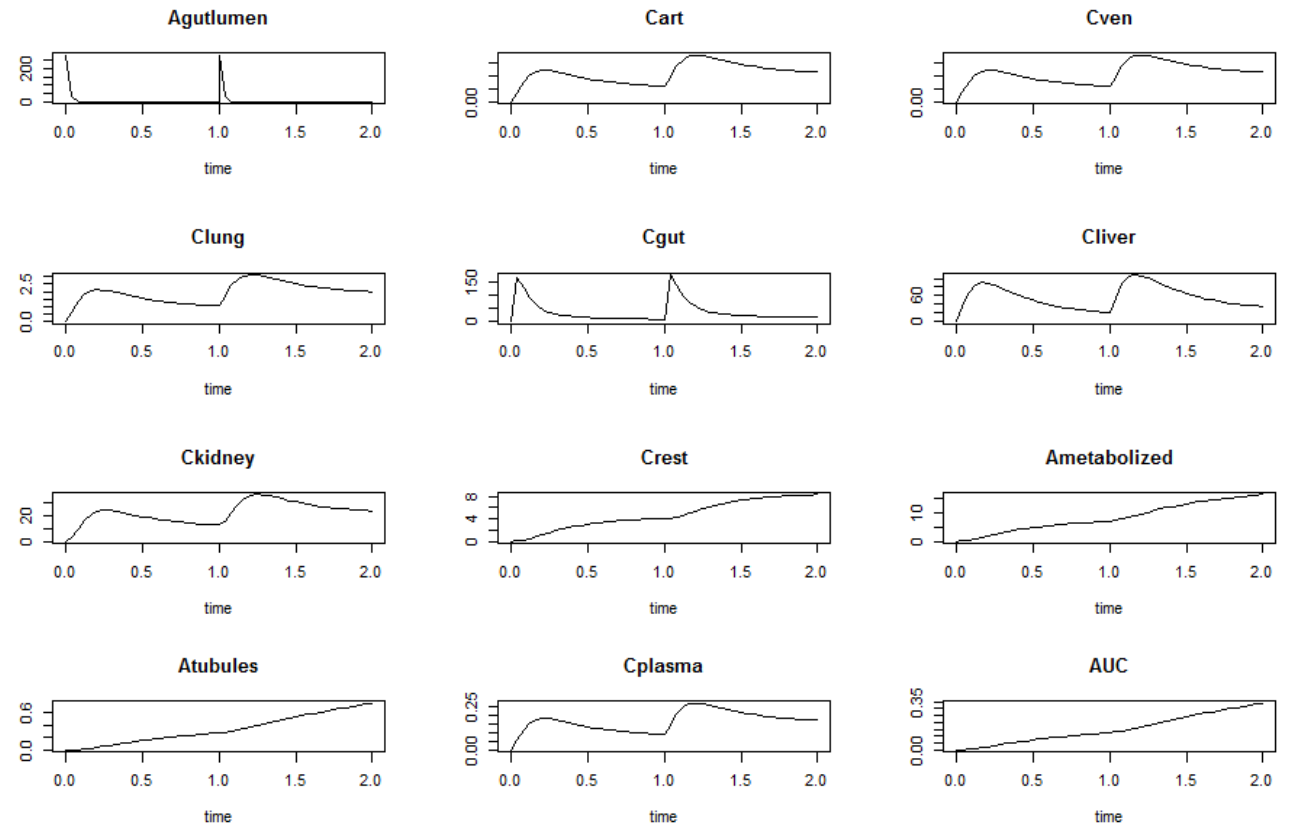
Generic HTKK Model to Predict ATRA Kinetics in Humans

all-*trans*-retinoic acid (ATRA)

| Sample Studies | Dose | PK parameters | Observed | Predicted | Predicted/ Observed Ratio |
|---------------------|------------|-----------------------|------------|-----------|------------------------------|
| Ozpolat et al. 2003 | 1.2 mg/kg | C _{max} (μM) | 1.3 ± 1.2 | 0.1 | 0.1 |
| | | AUC(0,∞) (μM*h) | 3.0 ± 2.6 | 3.12 | 1 |
| Thudi et al. 2011 | 0.14 mg/kg | C _{max} (uM) | 0.1 ± 0.04 | 0.02 | 0.2 |
| | | AUC(0,∞) (μM*h) | 0.3 ± 0.1 | 0.4 | 1 |
| Peng et al. 2014 | 0.3 mg/kg | C _{max} (uM) | 0.5 ± 0.1 | 0.04 | 0.1 |
| | | AUC(0,∞) (μM*h) | 1.2 ± 0.4 | 0.8 | 1 |

With minimal model inputs (F_{up} & CL_{int}), the generic model:

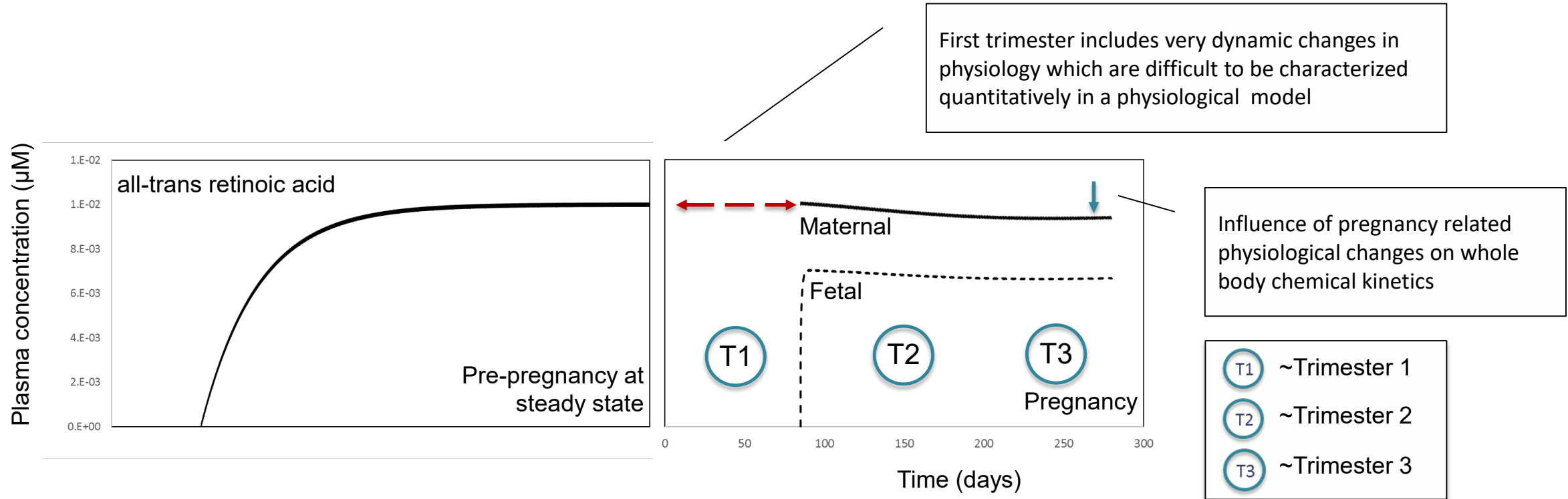
- Well predicted the Area Under the Curve
- Underpredicted the C_{max} by a factor of 10



Sample model outputs for ATRA following oral dosing

Forward Dosimetry Predictions during Pregnancy

Generic Maternal/Fetal HTKK Model

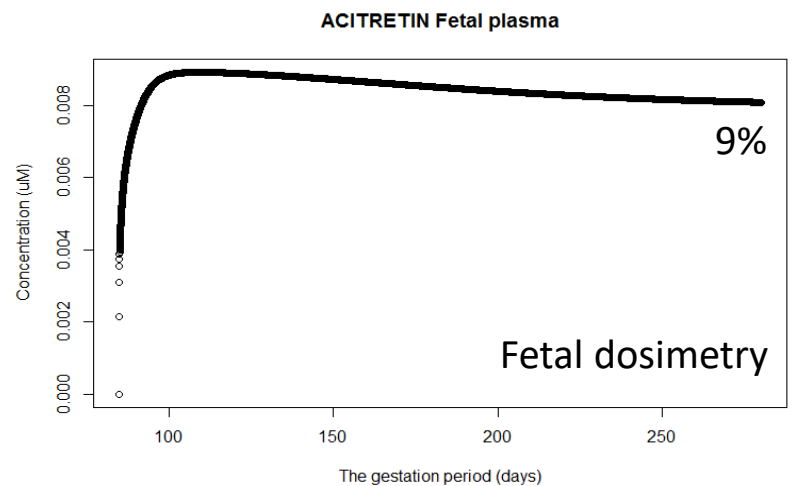
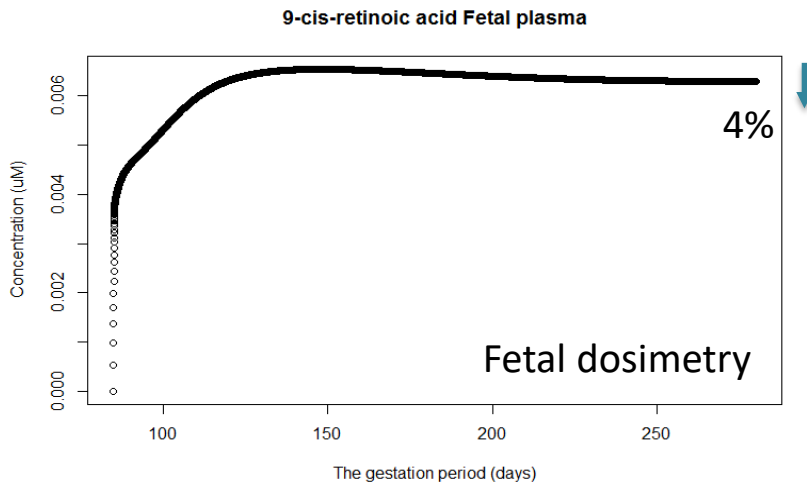
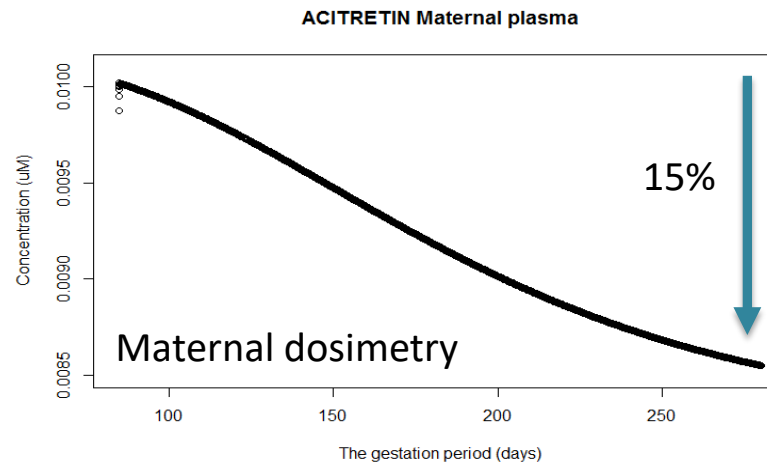
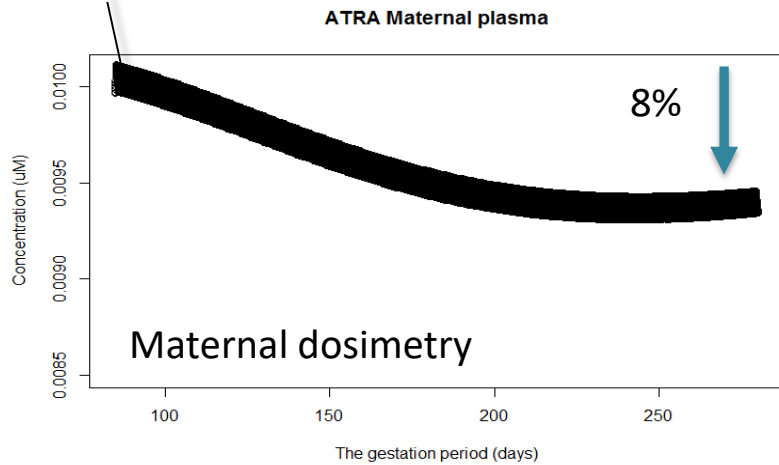


- ❑ Pregnancy related physiological changes for ATRA results in a dilution effect of chemical internal dosimetrics

Maternal/Fetal HTTK Model

Predictions for Retinoid Analogues

Normalized initial plasma concentration for each retinoid analogue

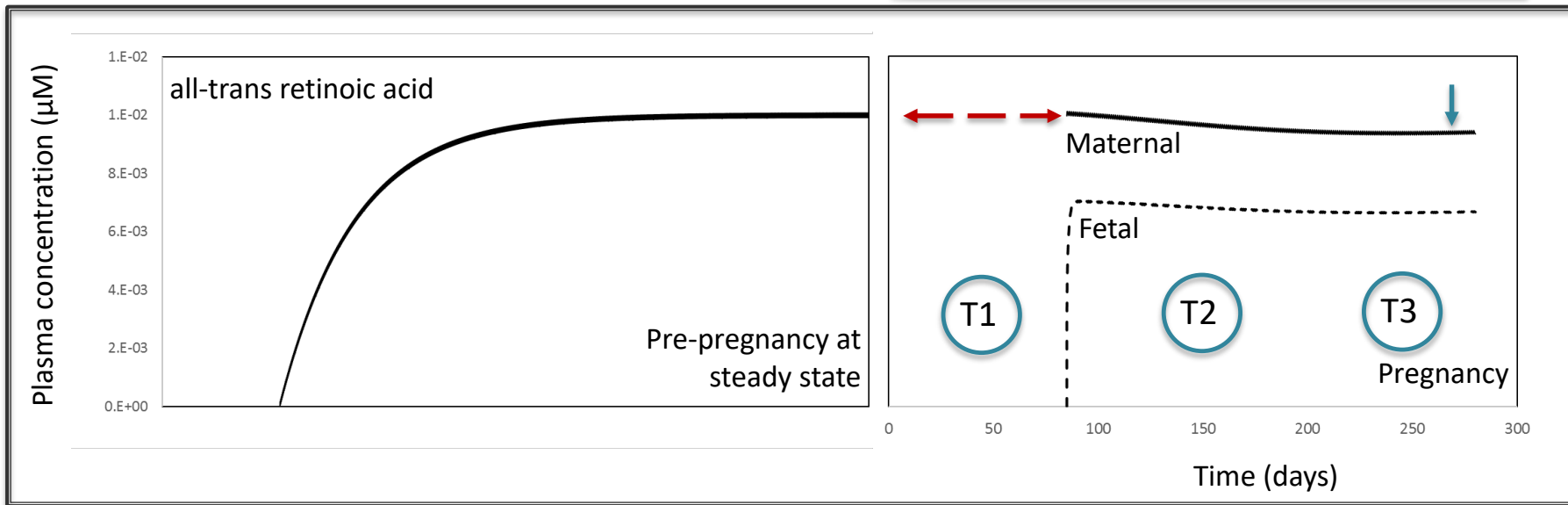
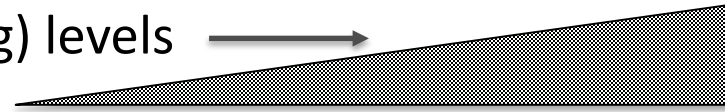


- Decrease in maternal plasma concentrations for retinoid analogues ranged from 8-15%

- Decrease in Fetal plasma concentrations for retinoid analogues ranged from 4-9%

Reverse Dosimetry Predictions during Pregnancy [Scales Linearly]

External exposure (mg/kg) levels



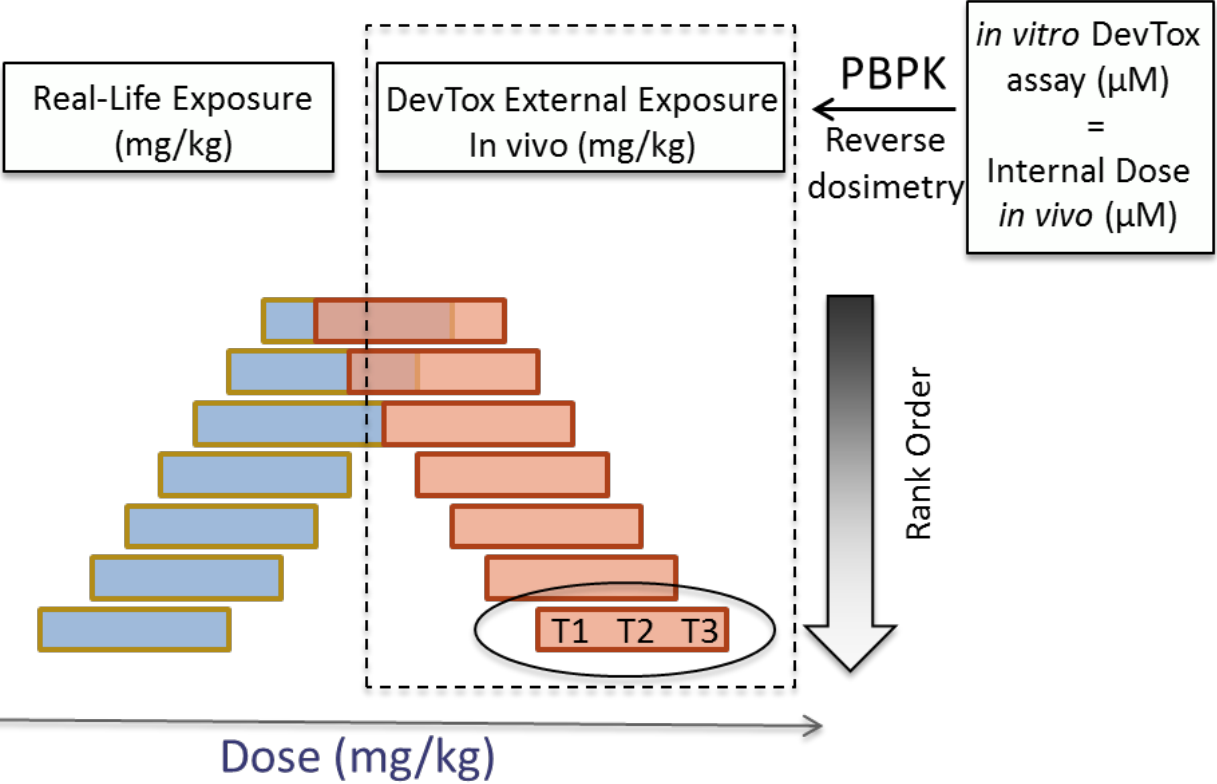
| | |
|----|--------------|
| T1 | ~Trimester 1 |
| T2 | ~Trimester 2 |
| T3 | ~Trimester 3 |

- ❑ Over the course of pregnancy it takes higher *in vivo* exposure doses to yield the same *in vitro* measured developmental toxicity potential estimates - depending on the extent of decrease in maternal plasma concentration during pregnancy

Model Predicted External Exposures Associated with Developmental Toxicity

| Retinoid analogs | in vitro Developmental toxicity potential (dTP, nM) [Palmer et al. 2017] | Corresponding HHTK predicted lowest external exposure in vivo (mg/kg/day) |
|-------------------------|--|--|
| all-trans retinoic acid | 19 (±15) | 2.20E-03 |
| 13-cis-retinoid acid | 65 (±35) | 6.34E-03 |
| 9-cis-retinoic acid | 36 (±9) | 3.51E-03 |
| Etretinate | 1694 (±1537) | 9.59E-02 |
| Acitretin | ND | - |
| Retinol | 191536 (±108464) | 4.05E+01 |
| TTNPB | 62 (±38) | NA* |

*chemical-specific model does not reach steady state for the given inputs



Project Summary and Next Steps

- *In vivo* external exposure doses associated with developmental toxicity (as measured in vitro) for retinoid analogues were determined using HTTK modeling platform
- HTTK pregnancy model allowed for the study of the effects of physiological changes on chemical kinetics.
- HTTK pregnancy model implications stands to have more confidence for chemicals that have physiological parameters as the most influential determinant of maternal-fetal disposition
- Future efforts include gathering available environmental exposure levels for activity-to-exposure ratio determinations
- In-progress pregnancy PBYK models when characterized fully will serve to be an invaluable tool for understanding pregnancy related changes on chemical kinetics

Acknowledgements

Dustin Kapraun

Un Jung Lee

Robert Pearce

Mark Sfeir

Richard Judson

Tom Knudsen

Nicole Kleinstreuer

The views expressed in this presentation are those of the authors and do not necessarily reflect the views or policies of the U.S. EPA or FDA



we are here

References

- Cohen Hubal, EA, et al. "Advancing internal exposure and physiologically-based toxicokinetic modeling for 21st-century risk assessments." *Journal of exposure science & environmental epidemiology* (2018).
- Eissing, Thomas, et al. "A computational systems biology software platform for multiscale modeling and simulation: integrating whole-body physiology, disease biology, and molecular reaction networks." *Frontiers in physiology* 2 (2011): 4.
- Frank, Christopher L., et al. "Defining toxicological tipping points in neuronal network development." *Toxicology and applied pharmacology* 354 (2018): 81-93.
- Honda, Gregory S., et al. "Using the concordance of in vitro and in vivo data to evaluate extrapolation assumptions." *PloS one* 14.5 (2019): e0217564.
- Jamei, et al. "The Simcyp® population-based ADME simulator." *Expert opinion on drug metabolism & toxicology* 2009b;5:211-223
- Jongeneelen, Frans J., and Wil F. Ten Berge. "A generic, cross-chemical predictive PBTK model with multiple entry routes running as application in MS Excel; design of the model and comparison of predictions with experimental results." *Annals of occupational hygiene* 55.8 (2011): 841-864.
- Lukacova, Viera, Walter S. Woltosz, and Michael B. Bolger. "Prediction of modified release pharmacokinetics and pharmacodynamics from in vitro, immediate release, and intravenous data." *The AAPS journal* 11.2 (2009): 323-334.
- McLanahan, Eva D., et al. "Physiologically based pharmacokinetic model use in risk assessment—why being published is not enough." *Toxicological Sciences* 126.1 (2011): 5-15.
- National Research Council. (1983). *Risk Assessment in the Federal Government: Managing the Process* Working Papers. National Academies Press.
- National Research Council. (2007). *Toxicity testing in the 21st century: a vision and a strategy*. National Academies Press.
- Obama, B. H. "Executive Order 13642: Making Open and Machine Readable the New Default for Government Information." Washington, DC: Office of the Executive." (2013).
- Ring, Caroline L., et al. "Identifying populations sensitive to environmental chemicals by simulating toxicokinetic variability." *Environment International* 106 (2017): 105-118.
- Rotroff, Daniel M., et al. "Incorporating human dosimetry and exposure into high-throughput in vitro toxicity screening." *Toxicological Sciences* 117.2 (2010): 348-358.
- Sayre, Risa et al., "Database of pharmacokinetic time-series data and parameters for XX environmental chemicals" in preparation
- Sipes, Nisha S., et al. "An intuitive approach for predicting potential human health risk with the Tox21 10k library." *Environmental science & technology* 51.18 (2017): 10786-10796.
- Wambaugh, John F., et al. "Evaluating in vitro-in vivo extrapolation of toxicokinetics." *Toxicological Sciences* 163.1 (2018): 152-169.
- Wambaugh, John F., et al. "Assessing Toxicokinetic Uncertainty and Variability in Risk Prioritization" *Toxicological Sciences* (2019), *in press*
- Wang, Ying-Hong. "Confidence assessment of the Simcyp time-based approach and a static mathematical model in predicting clinical drug-drug interactions for mechanism-based CYP3A inhibitors." *Drug Metabolism and Disposition* 38.7 (2010): 1094-1104.
- Wetmore, Barbara A., et al. "Integration of dosimetry, exposure and high-throughput screening data in chemical toxicity assessment." *Tox. Sciences* (2012)
- Wetmore, Barbara A., et al. "Incorporating high-throughput exposure predictions with dosimetry-adjusted in vitro bioactivity to inform chemical toxicity testing." *Toxicological Sciences* 148.1 (2015): 121-136.
- Wilkinson, Grant R., and David G. Shand. "A physiological approach to hepatic drug clearance." *Clinical Pharmacology & Therapeutics* 18.4 (1975): 377-390.