



Advisory Group on Endocrine Disrupters Testing and Assessment  
(EDTA AG) - Virtual Meeting, May 26, 2021

# **RETINOID SIGNALING IN SKELETAL DEVELOPMENT:** ***SCOPING THE SYSTEM FOR PREDICTIVE TOXICOLOGY***

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*DISCLAIMER: The views expressed are those of the presenters and do not necessarily reflect Agency policy.*



# Evaluating prenatal developmental toxicity



*"The first trimester is the most crucial to your baby's development. During this period, your baby's body structure and organ systems develop."*

[www.ucsfhealth.org](http://www.ucsfhealth.org)

## TIMELINE OF THE HUMAN EMBRYONIC PERIOD

Week 3  
(Carnegie Stage 8)



Week 4  
(Carnegie Stage 13)



Week 8  
(Carnegie Stage 20)



peak sensitivity (3<sup>rd</sup> – 8<sup>th</sup> wk)

## Embryonic Period

## Fetal Period

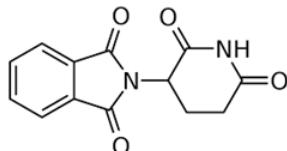
## Fetal Period

T1

T2

T3

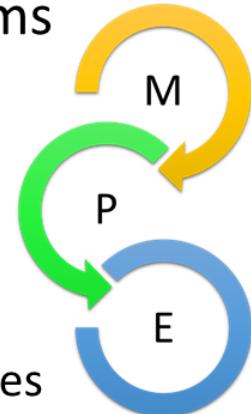
OECD TG 414  
OPPTS 870.3700



- Adverse Birth Outcomes (CDC)
- neonatal mortality (1-2%)
  - malformations (3-4% live births)
  - premature births (10%)
  - low birth weight (11%)
  - functional deficits (17% children)

## Complex Systems

- gene networks
- multiscale
- autopoiesis
- canalization
- temporality
- state trajectories
- and more ...





## 1. Key messages from DRP 4.97 (Annex-B)

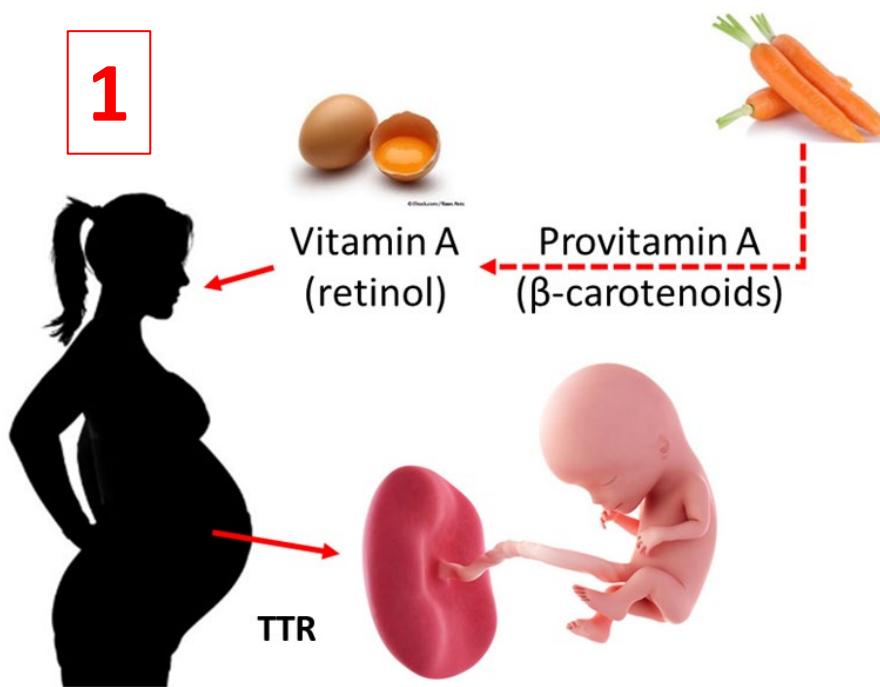
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- The retinoid pathway has parallels with endocrine signaling (EATS), but its many unique functions are essential to morphogenesis, growth and differentiation of the embryo.
- ATRA signaling collaborates with some of the most powerful morphogenetic signals known to the embryo (FGF, BMP, SHH, WNT, ...).
- Threshold ATRA responses are transduced by intracellular transport, liganding of nuclear RAR/RXR receptors bound to RARE genomic sequences, and recruitment of NCoA.
- ATRA-dependent gene expression is a molecular determinant of early growth, patterning and differentiation of the fetal skeleton (facial, vertebral, appendicular).

**KEY POINT:** Although it is clear that disruption of endogenous retinoid signaling has adverse consequences to skeletal development, from a broad DevTox regulatory context it is not clear which (if any) apical outcomes are attributable exclusively to retinoid-related mechanism(s).



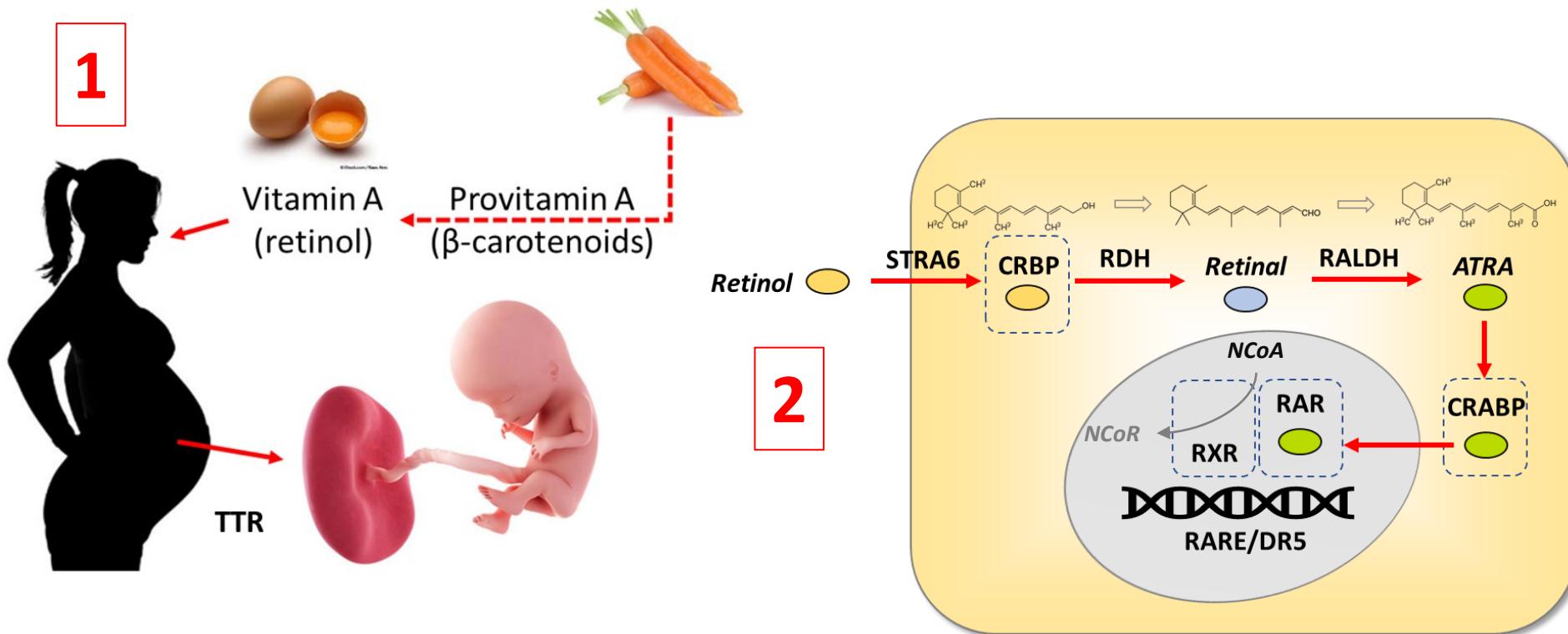
# The retinoid pathway and morphogenetic signaling



1. Retinol (vitamin A) is ingested by the mother and stored in her liver; it circulates to target tissues bound to serum transporters such as transthyretin (TTR).



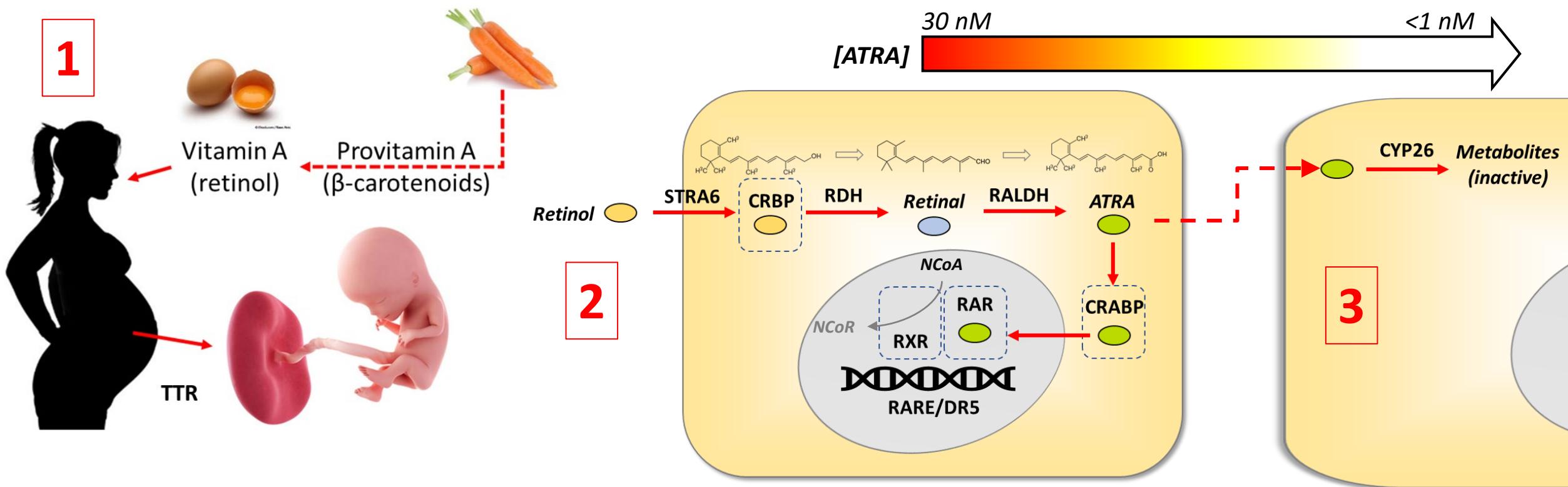
# The retinoid pathway and morphogenetic signaling



- 2.** In the embryo, retinol is enzymatically converted to all-trans retinoic acid (ATRA). This capacity is acquired during gastrulation and continues through organogenesis.



# The retinoid pathway and morphogenetic signaling



- 3.** ATRA is fashioned into biochemical gradients by the cell-specific expression of enzymes for its biosynthesis (RDH10, RALDH2) and breakdown (CYP26a/b/c).



# The retinoid pathway and morphogenetic signaling



Dosimetric	Conc.	Indication	Reference
baseline ATRA (5 somite zebrafish embryo)	< 1 nM	non-morphogenetic	(Shimozono, Iimura et al. 2013)
maternal serum (animal study)	1.7 nM	non-teratogenic	(Daston, Beyer et al. 2014)
devTOX <sup>QP</sup> assay (pluripotent hESC)	3.0 nM	teratogenic threshold	(Zurlinden, Saili et al. 2020)
normal plasma concentration	5.0 nM	physiological (adult)	(Napoli, Posch et al. 1991)
axial gradient (5 somite zebrafish embryo)	6.0 nM	morphogenetic signal	(Shimozono, Iimura et al. 2013)
endodermal differentiation (h-iPSC)	17 nM	toxicological tipping point	(Saili, Antonijevic et al. 2019)
devTOX <sup>QP</sup> assay (pluripotent h-iPSC)	19 nM	DevTox potential	(Palmer, Smith et al. 2017)
genetic perturbation (mouse)	30 nM	altered homeostasis	(Helms, Thaller et al. 1994)
maternal serum (animal study)	30 nM	teratogenic potential	(Daston, Beyer et al. 2014)
limb-bud (GD 10.5 mouse embryo)	30 nM	physiological (embryo)	(Horton and Maden 1995)
pharmacological kinetics	1,000 nM	efficacious (therapeutic)	(Helms, Thaller et al. 1994)
limb-bud (GD 11 mouse embryo)	1,500 nM	weakly teratogenic dose	(Satre and Kochhar 1989)
limb-bud (GD 10.5 mouse embryo)	12,500 nM	fully teratogenic dose	(Horton and Maden 1995)

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**4. It is not clear which (if any) apical skeletal outcomes in chemically-induced ‘retinoid embryopathy’ are attributable exclusively to retinoid-related mechanism(s).**



## 2. Postulated AOPs and status of development

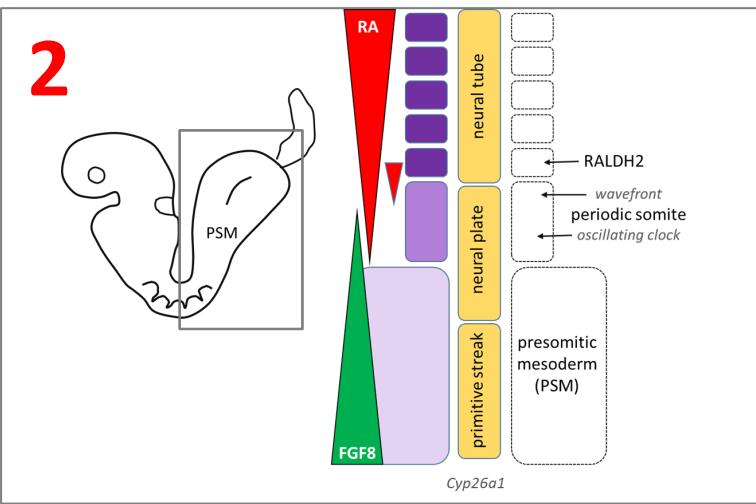
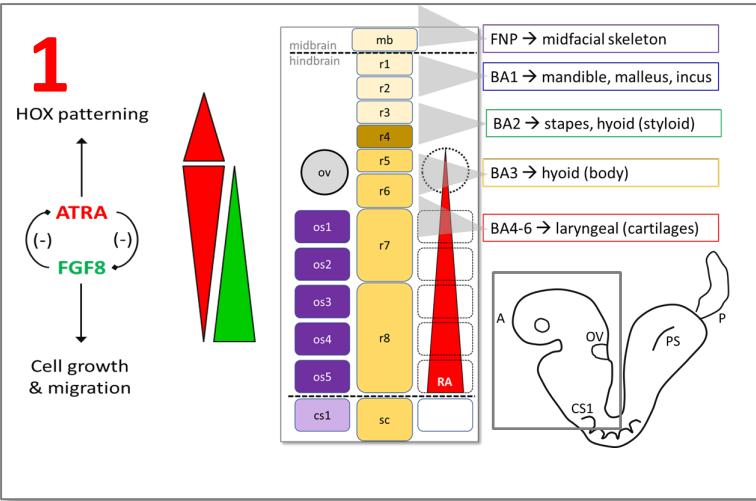


REGION	MIE	KE1	KE2	KE3	KE4	KE5	AO
Anterior Neural Tube	Inhibition of CYP26A1 enzymatic activity	Local increase in endogenous ATRA levels	Hyperactivation of the RAR/RXR heterodimer	Repression of <i>Fgf8</i> limits FGF8 signaling	Mis-specification of CNC cell fate and behavior	Maxillary arch dysplasia alters palatal outgrowth	Cleft palate
Paraxial Mesoderm	Reduction in RDH/RALDH2 activity	Local decrease in endogenous ATRA levels	Hypoactivation of the RAR/RXR heterodimer	Overextension of FGF8 signaling	Disruption of the periodic somitic wavefront	Altered somite number, shape, and alignment	Hemivertebra
Limb-Bud Mesoderm	Hyperactivation of the RAR/RXR heterodimer	Underextension FGF8 signaling from the AER	Dysregulation of <i>Meis1/2</i> and <i>Hox</i> gene expression	Proximalization of the limb-bud mesenchyme	Mis-specification of precartilage blastema	Malformed cartilaginous bone rudiment	Phocomelia

Postulated AOPs for each skeletal domain based on literature for dietary, genetic or chemical disruption of endogenous ATRA signaling during embryogenesis.



# Domain-specific AOPs (provisional examples)



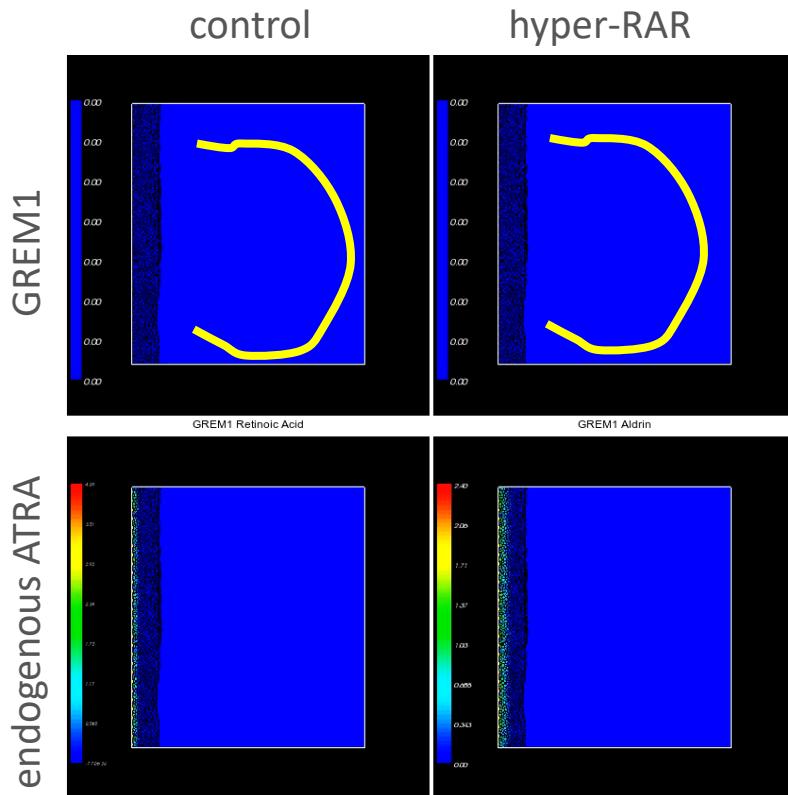
**1. Facial skeleton:** positional information of premigratory neural crest cells destined for branchial arches (5- to 11 somite stage).

**2. Vertebral skeleton:** size, registration, and specification of somites giving rise to individual vertebrae/ribs (0- to 36 somite stage).

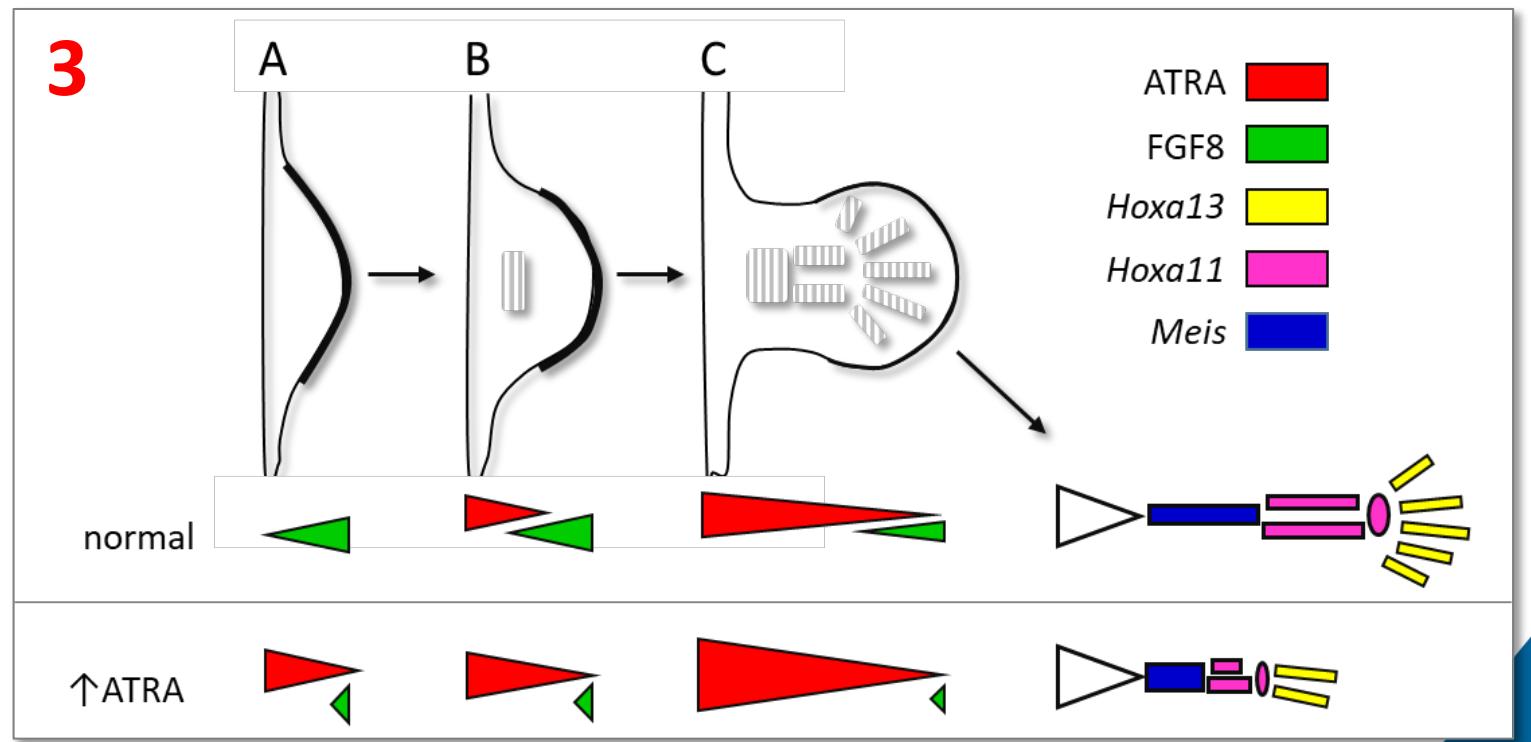
**3. Appendicular skeleton:** limb-bud initiation, outgrowth, patterning, and differentiation (12- to 36+ somite stage).



# Example: phocomelia due to hyperactivity of RAR



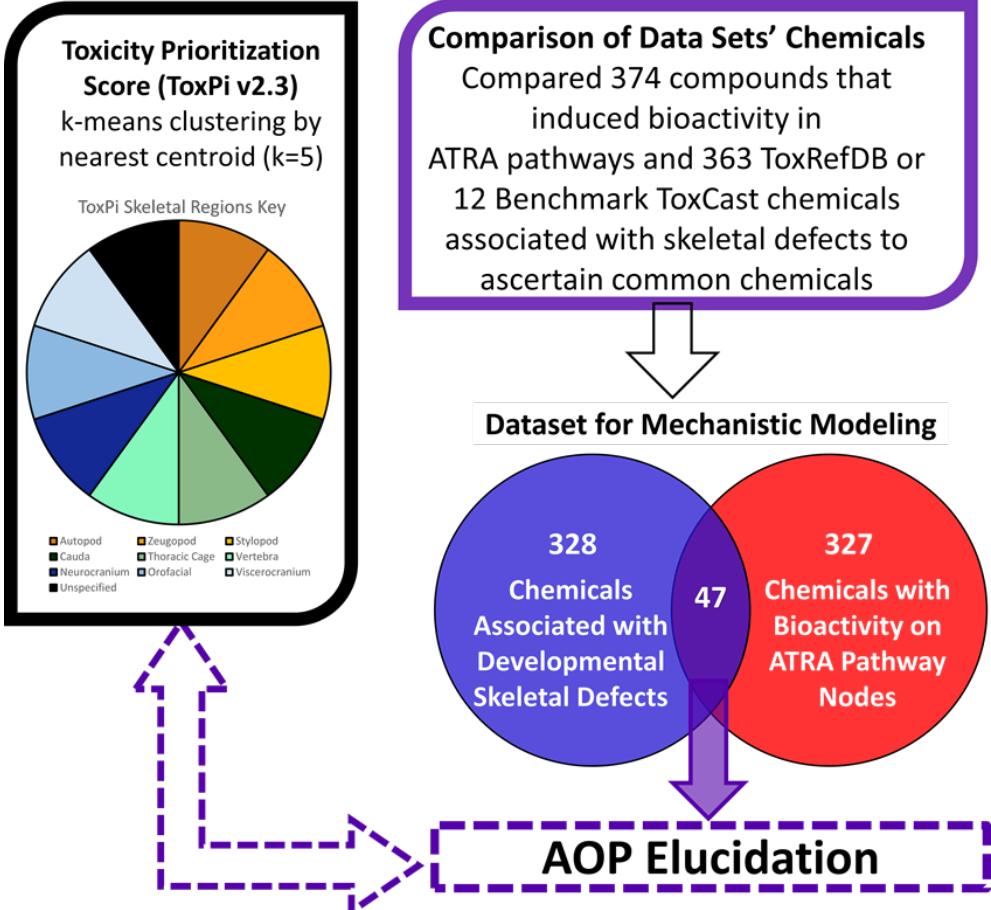
Systems model, work in progress



Based on Uzkudunet al. (2015) Mol Syst Biol



# Broadening the landscape



- 2946 developmental studies in EPA's ToxRefDB with an adverse skeletal outcome (328 chemicals x 57,198 features).
- ToxPi slices annotated per chemical by composite and potency (mg/kg dosage) across these anatomical domains:

### Cranium

- viscerocranum (oral, face)
- neurocranium (base, vault)

### Axial skeleton

- vertebra (cervical, thoracic, lumbar)
- thoracic cage (ribs, sternum)
- cauda (tail)

### Appendicular skeleton

- stylopod, zeugopod, autopod

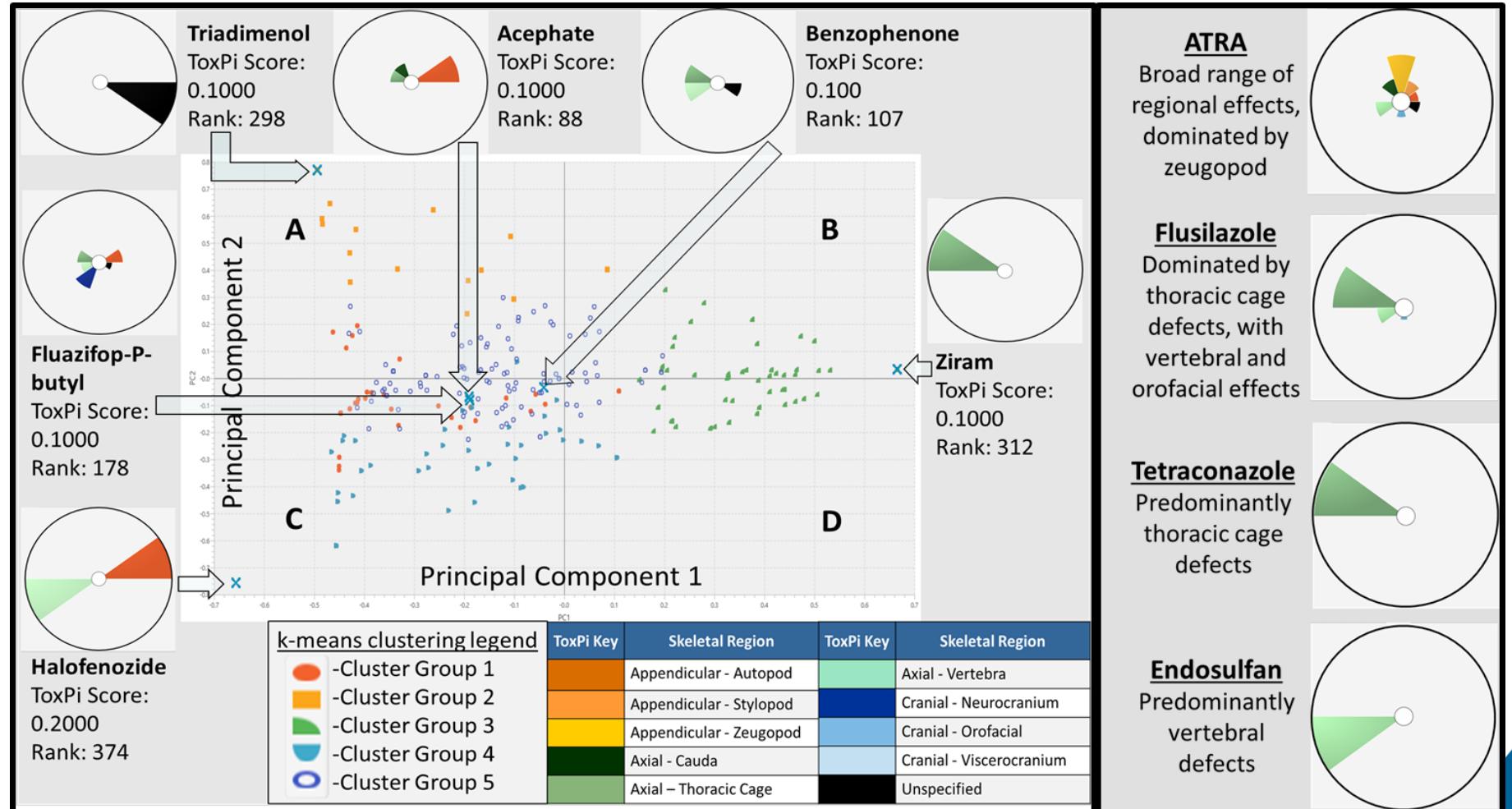
**Other** - insufficient detail for mapping





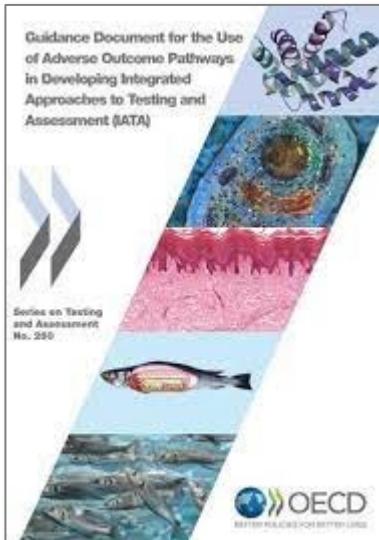
# Chemicals (375) clustered by ToxPi phenotype (k=5)

- **Phenotyping:** are defects of the fetal skeleton consistent with AOPs linked to ATRA pathway?
- **Chemotyping:** are distinct structural features shown by chemicals in each phenotype cluster?





### 3. Candidate assays/endpoints and value for standardization



- New Approach Methods (NAMs): *in vitro* data and *in silico* models for hazard identification with low reliance on animal testing.
- An important consideration is building NAMs for early lifestages, reflecting the best knowledge of human developmental biology.
- Integrated Approaches to Testing and Assessment (IATA): integrating information with data generated with new testing strategies.
- The ATRA system provides an excellent opportunity to establish reliability of NAMs and defining IATA case studies.



# ToxCast class distribution for retinoid target assays

**1.** Retinoids (ATRA, retinol, bexarotene).

**2.** Triazoles (CYP inhibition – CYP1A1 as a surrogate to CYP26a/b/c).

**3.** persistent organic pollutants (selective RAR activation).

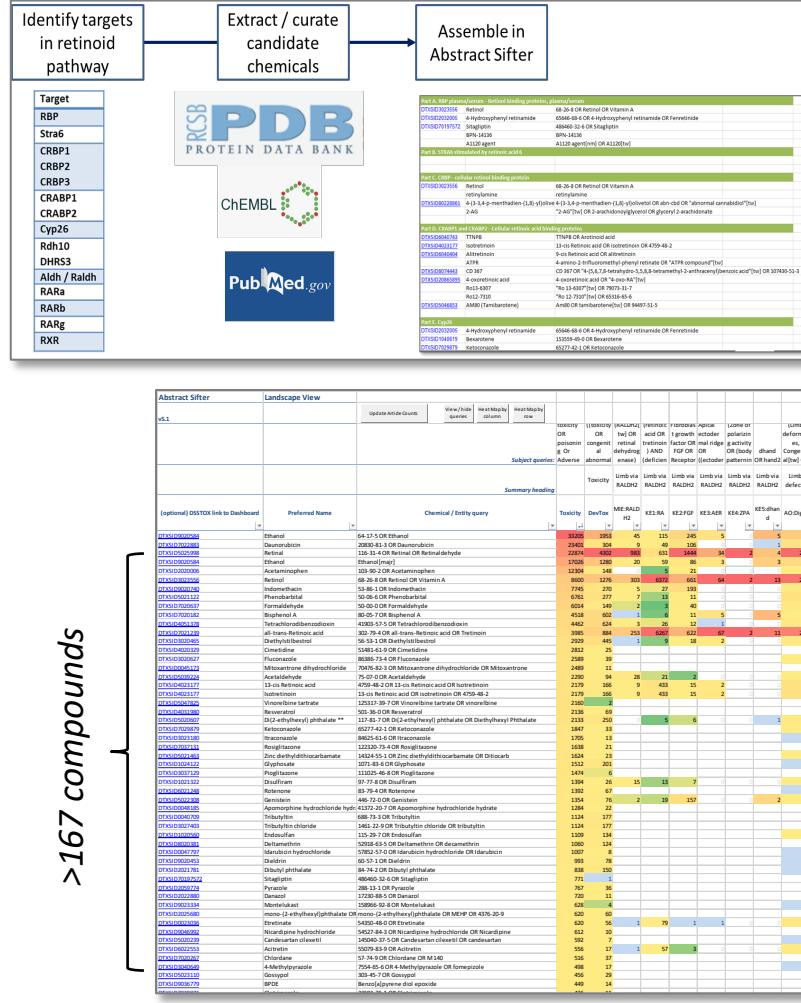
**4.** tert-butyl and organotin compounds (selective RXR activation)

**Other:** mitochondrial disrupters, anticonvulsants (VPA), flame retardants (PBDEs).

DSSTOXID	PREFERRED_NAME	CYP1A1 (72)	RAR <sub>a</sub> (65)	RAR <sub>b</sub> (17)	RAR <sub>g</sub> (49)	RXR <sub>a</sub> (69)	RXR <sub>b</sub> (299)	RXR <sub>g</sub> (0)	DR5 (250)
<a href="#">DTXSID7021239</a>	all-trans-Retinoic acid	1.317	NA	NA	NA	NA	1.036	NA	0.006
<a href="#">DTXSID1040619</a>	Bexarotene	1	NA	7.539	NA	2.655	0.009	0.009	NA
<a href="#">DTXSID3023556</a>	Retinol	NA	0.076	NA	0.227	2.142	0.464	NA	0.197
<a href="#">DTXSID1020807</a>	2-Mercaptobenzothiazole	0.164	NA	NA	NA	NA	NA	NA	NA
<a href="#">DTXSID2040363</a>	Diniconazole	0.674	NA	NA	NA	NA	NA	NA	NA
<a href="#">DTXSID0032655</a>	Triticonazole	0.793	NA	NA	NA	NA	NA	NA	16.741
<a href="#">DTXSID8024151</a>	Imazalil	1.413	0.908	NA	NA	NA	NA	NA	5.888
<a href="#">DTXSID4032372</a>	Difenoconazole	1.459	NA	NA	NA	NA	NA	NA	2.124
<a href="#">DTXSID3023897</a>	Triademifon	2.085	41.462	NA	NA	NA	NA	NA	11.223
<a href="#">DTXSID7029871</a>	Clotrimazole	2.306	NA	NA	NA	NA	NA	NA	NA
<a href="#">DTXSID3024235</a>	Flusilazole	3.704	8.155	NA	NA	NA	NA	NA	7.718
<a href="#">DTXSID2032500</a>	Triflumizole	4.134	1.453	NA	NA	NA	NA	NA	0.298
<a href="#">DTXSID0021337</a>	Thiabendazole	4.721	NA	NA	NA	NA	NA	NA	NA
<a href="#">DTXSID8024280</a>	Propiconazole	9.010	23.801	NA	NA	NA	NA	NA	6.253
<a href="#">DTXSID9020453</a>	Dieldrin	NA	0.770	NA	1.679	NA	22.531	NA	0.579
<a href="#">DTXSID9037539</a>	Endosulfan I	NA	1.384	NA	NA	NA	NA	NA	1.827
<a href="#">DTXSID6020561</a>	Endrin	NA	NA	1.606	1.698	NA	24.982	NA	0.806
<a href="#">DTXSID1020560</a>	Endosulfan	NA	NA	NA	NA	NA	NA	NA	0.894
<a href="#">DTXSID7020267</a>	Chlordane	NA	NA	NA	6.878	71.470	21.422	NA	1.784
<a href="#">DTXSID7042065</a>	Isodrin	NA	NA	NA	1.077	NA	NA	NA	2.111
<a href="#">DTXSID8020040</a>	Aldrin	NA	NA	NA	0.912	NA	7.167	NA	3.085
<a href="#">DTXSID3042500</a>	Triphenyltin fluoride	NA	NA	NA	NA	0.004	0.001	NA	0.655
<a href="#">DTXSID5034981</a>	Tributyltin benzoate	NA	NA	NA	NA	0.005	0.036	NA	0.023
<a href="#">DTXSID9044796</a>	(Acryloyloxy)(tributyl)stannane	NA	NA	NA	NA	0.015	0.026	NA	0.022
<a href="#">DTXSID2040733</a>	Triphenyltin chloride	NA	NA	NA	NA	0.081	0.037	NA	0.356
<a href="#">DTXSID9035204</a>	Tributyltin methacrylate	NA	NA	NA	NA	0.147	0.025	NA	0.005
<a href="#">DTXSID3027403</a>	Tributyltin chloride	NA	NA	NA	NA	0.176	0.078	NA	0.003
<a href="#">DTXSID4022153</a>	Tetrabutyltin	NA	NA	NA	NA	0.741	0.033	NA	0.279
<a href="#">DTXSID1021409</a>	Triphenyltin hydroxide	NA	NA	NA	NA	NA	0.013	NA	NA
<a href="#">DTXSID9040712</a>	Triethyltin bromide	NA	NA	NA	NA	4.029	0.252	NA	NA



# Reference chemicals for the ATRA system



- Assemble candidate chemical list for each target:**
  - search databases (Protein Data Bank, ChEMBL, ToxCast/Tox21, ...);
  - advanced literature mining to define bioactivity at each target.
- For each candidate chemical:**
  - mine literature for MIEs, key events, and adverse outcomes;
  - e.g., skeletal defects, CNS defects, cardiovascular defects, ...
- Compile top candidate chemicals for each target:**
  - detailed analysis of the Tox21 qHTS 10K dataset;
  - consult with domain experts.





# Direct assays for modeling the ATRA pathway

Assays that Detect Potential RA-related MIs			
ChEMBL Assay ID	Chem. Count	Assay description	PMID
<b>ChEMBL entries for retinol-acid binding protein (CRABP) related assays</b>			
ChEMBL000002	10	inhibition of chick liver Cytoplasmic retinol-acid binding protein at 100-fold excess to ligand	8809153
ChEMBL000003	7	Concentration of compound required to inhibit binding of 2.5 nM D <sub>3</sub> (all-trans)-retinol to CRABP type 1	27308085
ChEMBL000004	7	Binding affinity for retinol-Cytoplasmic retinol-acid binding protein type 2	7028085
ChEMBL000005	9	inhibition of [3H]ATRA binding to murine Cytoplasmic retinol-acid binding protein (CRABP) type 1	8809153
<b>ChEMBL entries for retinol dehydrogenase (RDH) related assays</b>			
ChEMBL000006	10	inhibition of human alcohol dehydrogenase activity	9572804
ChEMBL000007	10	The compound was tested for the ability to inactivate human liver alcohol dehydrogenase in the absence of NAD+	7006609
<b>ChEMBL entries for ALDHSA related assays</b>			
ChEMBL000008	37	inhibition of full length recombinant human ALDH2A2 expressed in Sf9 cells at 210 nM to 30 nM with a resulting net drug enzyme activity by measuring NADH oxidation to NAD+ over 2 hours	26219611
ChEMBL000009	22	inhibition of human ALDH2A2 using propionate ester as substrate measured at 20 nM over 2 hours followed by colorimetric detection by spectrophotometry in presence of NAD+	24488058
<b>ChEMBL entries for CYP26 related assays</b>			
ChEMBL000010	28	inhibition of retinoic acid receptor (RAR) by CYP26A3 expressed in HEK293T cells using 50 nM as a substrate pre-incubated for 5 minutes followed by NADPH addition measured after 1 min by HPLC analysis in presence of rAR P450	26518322
ChEMBL000011	16	inhibition of CYP26A3 in ATRA-induced human U251 cell microsomes measured for 30 mins in dark condition with NADPH and ATRA by HPLC method	26365770
ChEMBL000012	18	Inhibition of human CYP26A3 was assessed using [11,12- <sup>3</sup> H]ATRA as a substrate by liquid scintillation counting	21438028
ChEMBL000013	31	potency toward ds cytochrome P-450 26 enzyme activity	15705619

ChEMBL has limited data on 12 upstream assays (ATRA metabolism) for drug-like compounds

ToxCast has target-specific data on 11 assays for <2K Ph-I/II chemicals.			
Tox21 has 2 assays on the integrated retinol pathway (LOPAC and Tox21 10K chem) libraries.			
ToxCast/Tox21 Assay ID	Chem. Active	Assay description	PMID
<b>ToxCast assays for CYP 1A1</b>			
VVS_ANDR_ncVR1A1*	73	Using a type of enzyme reporter, loss-of-signal activity can be used to understand the mechanism of change. In the enzymatic activity as they relate to the gene CYP1A1	
VVS_ANDR_cVR1A1*	6	Using a type of enzyme reporter, loss-of-signal activity can be used to understand the mechanism of change. In the enzymatic activity as they relate to the gene Cyp1A1	
<b>ToxCast assays for RARalpha, RARbeta, RARGamma</b>			
ATG_DR7_CS_uP*	56	Measures of mRNA for gain-of-signal activity can be used to understand the mechanism of change. In the transcription at the level as they relate to the genes RARA and RARB and RARG	
ATG_ANRa_TRANS_uP*	80	Using a type of inducible reporter, measurement of mRNA for gain-of-signal activity can be used to understand the mechanism of change. In the transcription at the level as they relate to the genes RARA and RARB	
ATG_ANRa_TRANS_uP*	26	Measures of mRNA for gain-of-signal activity can be used to understand the mechanism of change. In the transcription at the level as they relate to the gene RAR	
ATG_ANRa_TRANS_uP*	63	Measures of mRNA for gain-of-signal activity can be used to understand the mechanism of change. In the transcription at the level as they relate to the gene RAR	
VVS_NR_ANDR_Antagonist*	41	Using a type of binding reporter, loss-of-signal activity can be used to understand the mechanism of change. In the binding at the level as they relate to the gene RARA	
VVS_NR_ANDR_Agonist*	42	Using a type of binding reporter, loss-of-signal activity can be used to understand the mechanism of change. In the binding at the level as they relate to the gene RARA	
<b>Tox21 assays for reporter assays RARalpha</b>			
TOX1_RAR_IUC_Agent*	305	Using a type of inducible reporter, loss-of-signal activity can be used to understand the mechanism of change. In the expression of genes as they relate to the gene RARA	
TOX2_RAR_IUC_Agent*	985	Using a type of inducible reporter, loss-of-signal activity can be used to understand the mechanism of change. In the expression of genes as they relate to the gene RARA	
<b>ToxCast assays for RXRalpha, RXRbeta, and RXRgamma</b>			
ATG_RXRa_TRANS_uP*	19	Measures of mRNA for gain-of-signal activity can be used to understand the mechanism of change. In the transcription at the level as they relate to the gene RXR	

## ATRA processes that can be modeled

### Pregnancy

- exposure (diet, pharma, chemicals)
- hepatic mobilization (retinol)
- transplacental kinetics (RBP)

### Microphysiology

- bioactivation (RDH, RALDH2)
- molecular transporter (Stra6)
- metabolism (CYP26a/b/c)

### Cell signaling

- endogenous ATRA gradients
- ATRA kinematics (retinoid dosimetry)
- nuclear delivery (CRABP)

### Nuclear signaling

- RAR/RXR liganding
- NCOR – NCOA switching
- DR5 mediated transactivation



## 4. Connections with other on-going projects at OECD and elsewhere

Knowledgebase (skeletal development)
AOP-WIKI (limb defects)
HTS-based signatures (ToxPi classifier)
HTS data analysis (ToxCast/Tox21/ChEMBL)
Pregnancy IVIVE models (targeted case studies)
Performance-based prediction (ATRA pathway in Devtox)
Morphoregulatory simulation (Limb ABM)

### 1. CPP 13 Predictive Toxicology of the Retinoid Signaling Pathway.

#### US EPA, CCTE

Nancy Baker, Leidos  
Richard Judson  
Thomas Knudsen  
Jocylin Pierro  
Ann Richard  
Laura Taylor

#### NCTR/FDA

Annie Lumen

#### NTP/NIEHS

Nicole Kleinstreuer,  
  
NIH/NCATS  
Srilatha Sakamuru  
Menghang Xia

#### OECD

Patience Browne



**Aim:** mine HTS bioactivity profiles for retinoid transporters, metabolism, receptors, and responsive pathways that can be formally integrated with embryological knowledge on the embryo-fetal skeletal system as a potential IATA case study.



## 4. Connections with other on-going projects at OECD and elsewhere

### 2. Retinoid Signaling and Cardiovascular Development.

	Cardiac Precursors	Cardiac Crescent	Linear Heart Tube	Cardiac Looping	4-Chambered Heart
Mouse:	6.5	E7.5	E8.0	E8.5	E14.5
Human:	CS6	CS7	CS8	CS8	CS20

Roles for Retinoic Acid Signaling	<ul style="list-style-type: none"><li>• Restricting heart field size</li><li>• Patterning the SHF</li></ul>	<ul style="list-style-type: none"><li>• Addition of SHF cells to growing heart tube</li></ul>	<ul style="list-style-type: none"><li>• Left-right asymmetry</li><li>• Outflow tract development</li></ul>	<ul style="list-style-type: none"><li>• Myocardial expansion</li><li>• Formation of fibroblast and vascular smooth muscle cell populations</li></ul>
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#### US EPA

Nancy Baker  
Rachel Brunner, OPP  
Sid Hunter  
Thomas Knudsen  
Jocylin Pierro  
John Rogers  
*Other experts (TBD)*



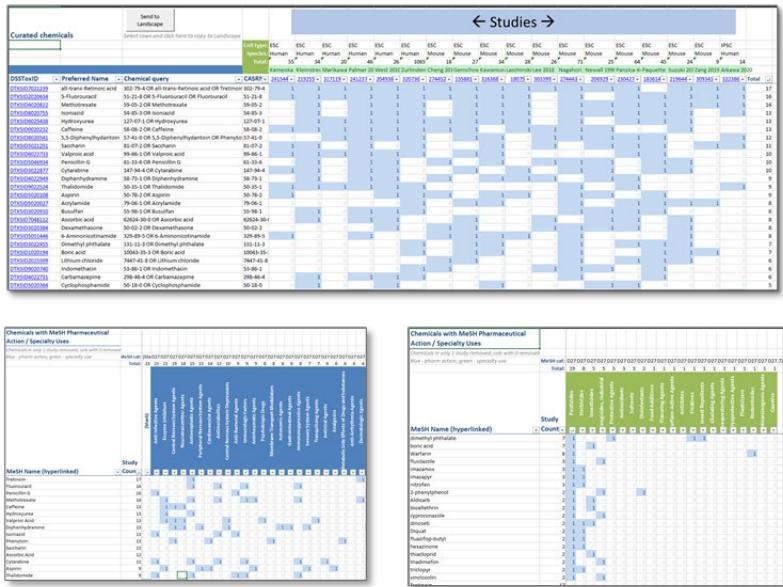
**Aim:** comprehensive review of the scientific literature on endogenous ATRA signaling in the regulation of cardiovascular (CV) development, functioning and health focusing on the heart and great vessels. To be a new annex in DRP 4.79.



## 4. Connections with other on-going projects at OECD and elsewhere

### 3. DRP on Stem Cell Assays for Developmental Toxicity (EST).

- **1,250 chemicals:**  
18 studies tested  $\geq 10$  chems, 174 studies 1-9 chems.
- **Most overlap:** ATRA (17 studies), and the most potent of 1065 ToxCast chemicals.



#### EST team

Nancy Baker - Leidos  
George Daston – Procter & Gamble Co.  
Burkhard Flick – BASF (Berlin)  
Michio Fujiwara – Astellas Pharma Inc. (Japan)  
Thomas Knudsen – USEPA  
Hajime Kojima – NIHS/JaCVAM (Japan)  
Aldert Piersma – RIVM (Netherlands)  
Horst Spielmann – Berlin (retired)  
Noriyuki Suzuki – Sumitomo Chemical Co. (Japan)  
Katya Tsaioun – Johns Hopkins University



**Aim:** systematic scoping review on pluripotent stem cell assay modalities and applications for predictive developmental toxicity: chemical and biological domains, readout endpoints, standardized protocols, reproducibility and predictive performance.



## Outlook

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- Harmonized protocol(s) assessing the retinoid pathway would be useful for developmental toxicity hazard prediction in AOPs leading to fetal skeletal defects.
- Translatability will depend on the integration of *in vitro* data with embryological knowledge (*in vivo*) using multifaceted computational tools, approaches, and models.
- Due to complexity of the retinoid pathway and related networks, computer modeling will be useful for quantitative simulation for animal-free hazard evaluation.